

Perioperative Consideration and Mx of OSA

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Disclosure

- ✦ Research support

- ✦ Dept. of Anesthesia, University Health Network, University of Toronto
- ✦ University Health Network Foundation
- ✦ Physicians Services Incorporated Foundation
- ✦ ResMed Foundation

Conflict of Interest

Updated STOP-Bang questionnaire: Proprietary to University Health Network

Pfizer research grant

Outline

- ✱ Adverse events of patients with OSA
- ✱ How do we identify patients with OSA?
- ✱ Perioperative management of OSA pt.
- ✱ CPAP treatment

Prevalence of OSA

Moderate - Severe OSA (AHI>15)

Men 11 %

Women 5 %

Recent study 9-22 %

Peppard PE et al, Am J Epidemiology 2013; 177: 1006-14

Bixler EO et al, Am J Resp Crit Care Med 2001; 163:608-13

Duran J et al, Am J Resp Crit Care Med 2001; 163:685-9

Meta-analysis: OSA and postop Cx. 13 and 17 studies

- ✱ Postop cardiac events OR 2.1
- ✱ Acute respiratory failure OR 2.4
- ✱ Desaturation OR 2.0
- ✱ ICU transfer OR 2.4

Hai et al. J Clin Anesth 2014;26:591-600.

Roop K BJA 2012; 109: 897-906

OSA and Complications

- ✱ 530,089 patients Premier Database
- ✱ THA and TKR
- ✱ Higher periop Cx
- ✱ Higher mortality
- ✱ Increased LOS
- ✱ Increased utilization of resource

Memtsoudis et al. Anesth Analg 2014;118:407–18

OSA as a Risk Factor for Postop Cx After Revision Joint Arthroplasty

- ✱ NIS, 258 455 pt. with revision THA or TKA
- ✱ 6.4% OSA
- ✱ Increased in-hospital mortality (OR 1.9)
- ✱ PE (OR 2.1)
- ✱ Wound hematomas (OR 1.36)
- ✱ Increased postop charges
- ✱ \$61,044 vs. \$58,813; $P < 0.001$



OSA and Postop Delirium

- ✦ An association between OSA and postop delirium

Flink BJ et al *Anesthesiology*. 2012; 116: 788–96

- Cardiac surgery pts.

Preop AHI ≥ 19 associated with 6-fold increased risk of postop delirium

Roggenbach et al. *Crit Care* 2014 Sept

SDB and Postop Outcomes: Analysis of the Nationwide Inpatient Sample

- ✱ 1 million pts: ortho, prostate, abd. & CVS
- ✱ OSA: increased risk of emergent ET intubation, noninvasive ventilation & A fib.
- ✱ OSA: not associated with clinically significant increases in in-hospital death, LOS, or total charges
- ✱ Similar results in bariatric surgical pts

Mokhlesi B et al Chest 2013 Mar 28. doi: 10.1378/12-2905

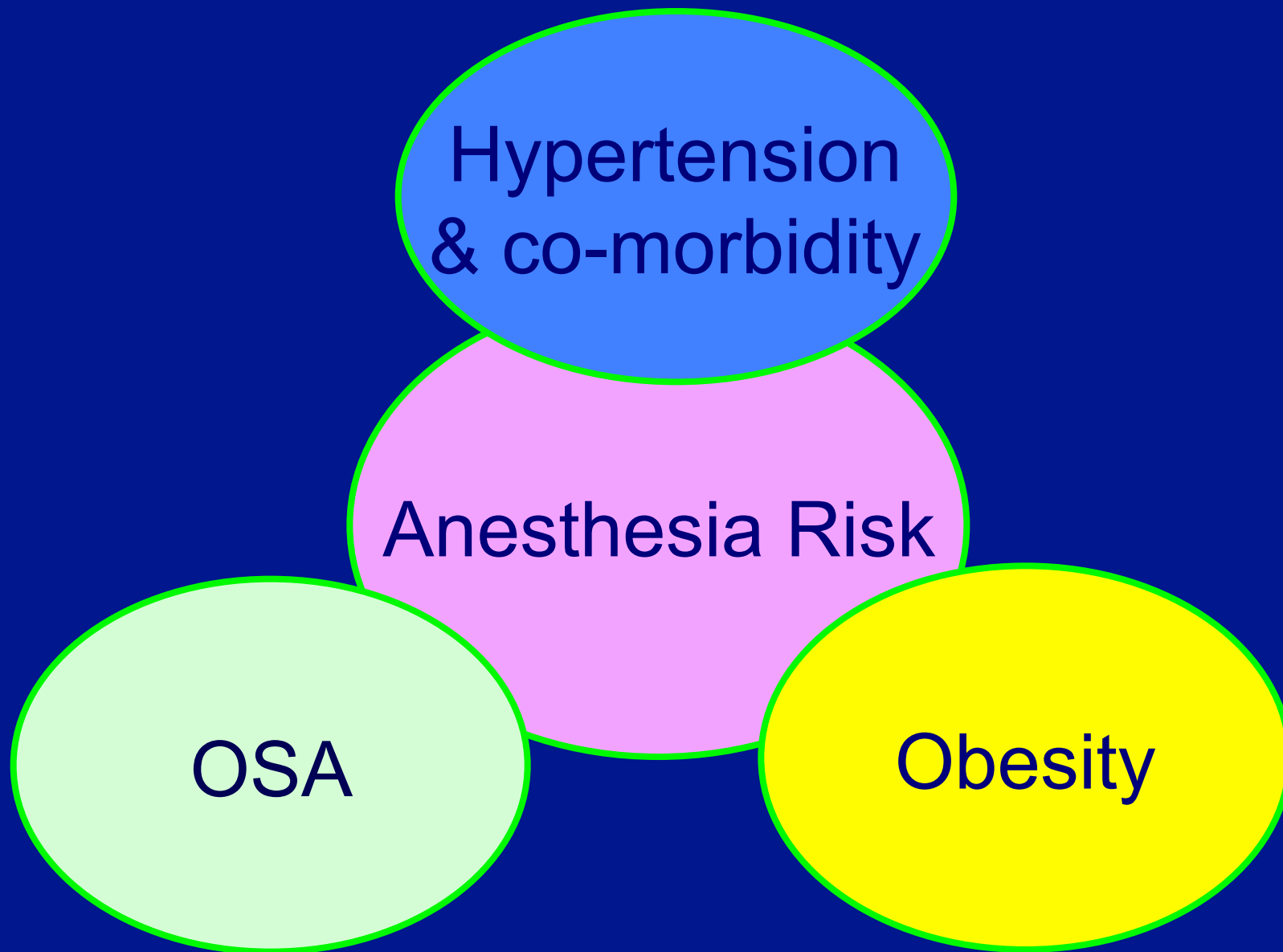
Mokhlesi B et al Obes Surg 2013; 23:1842-1851.



Periop risks for OSA



Anesthesia Risk of OSA Pt.



Clinical conundrum

- ✦ Many pt. with untreated or undiagnosed OSA are undergoing surgery everyday
- ✦ Why do not more pt. have death or adverse events ?



Which OSA pt. is at risk of periop Cx?

- ✱ Apnea Hypopnea Index?
- ✱ Degree of O₂ desat.? Intermittent hypoxia?
Cumulated time of desaturation? Lowest O₂ level at night?
- ✱ Lack of arousal due to respiratory depression by opioids?
- ✱ How great is the role of OSA in respiratory depression due to opioids?

Sudden Cardiac Death and OSA

- ✦ Sudden cardiac death in patients with OSA

- ✦ Related to lowest O₂ sat. 78% and mean O₂ sat. < 93%

- ✦ Gami et al J Am Clin Cardiology 2013

Preop Over-night Oximetry Predict Postop Cx

Chung F et al Minerva Anestesiol 2014

- Mean preop over-night SpO₂ < 93%

- ODI > 29 events/h

- Cumulated time overnight <90% >7%

- Higher risk for postop adverse events

- Odds ratio for Cx 2.2

- Over-night oximetry: A useful tool to stratify patients for the risk of postop Cx

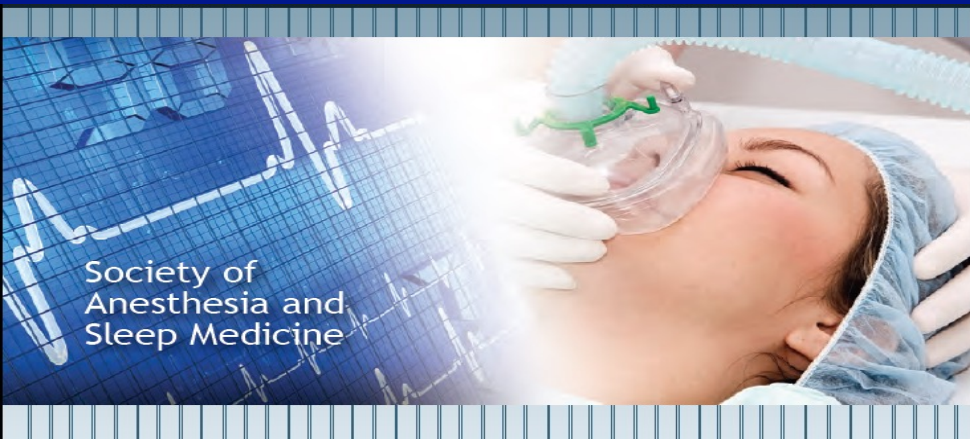
Society of Anesthesia & Sleep Medicine

SASM



<http://www.sasmhq.org>

Obstructive Sleep Apnea Registry
Collaboration between SASM and AQI



Outline

- ✱ Adverse events of patients with OSA
- ✱ How do we identify patients with OSA?
- ✱ Perioperative management of OSA pt.
- ✱ CPAP treatment

Obstructive sleep apnea and anesthesia



- ★ Recognition of OSA patients:

Known, unknown

Treated and untreated

Prevalence of unDx OSA among adult surgical pt.

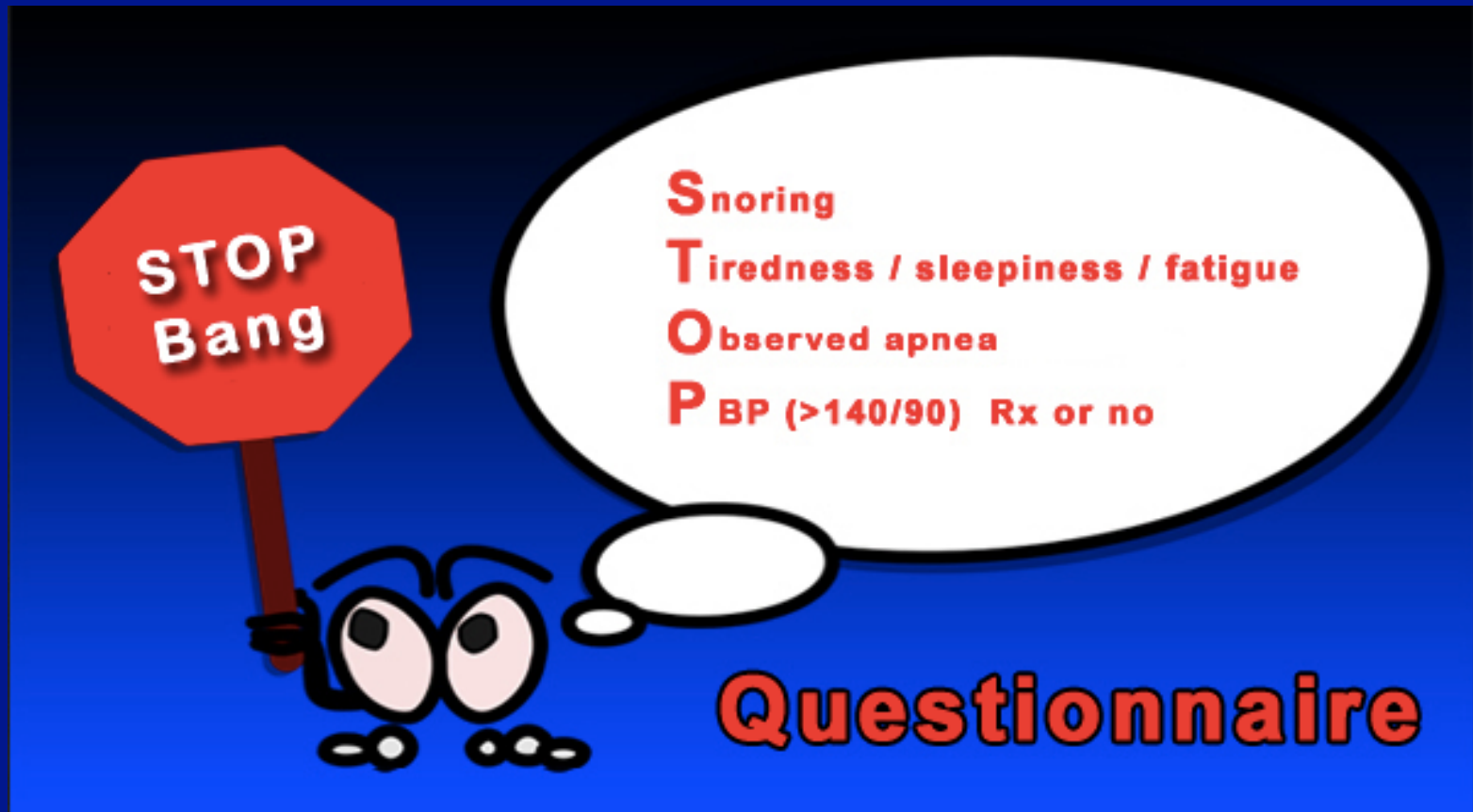
- ✦ 82% of men and 93% of women with moderate-severe OSA are undiagnosed



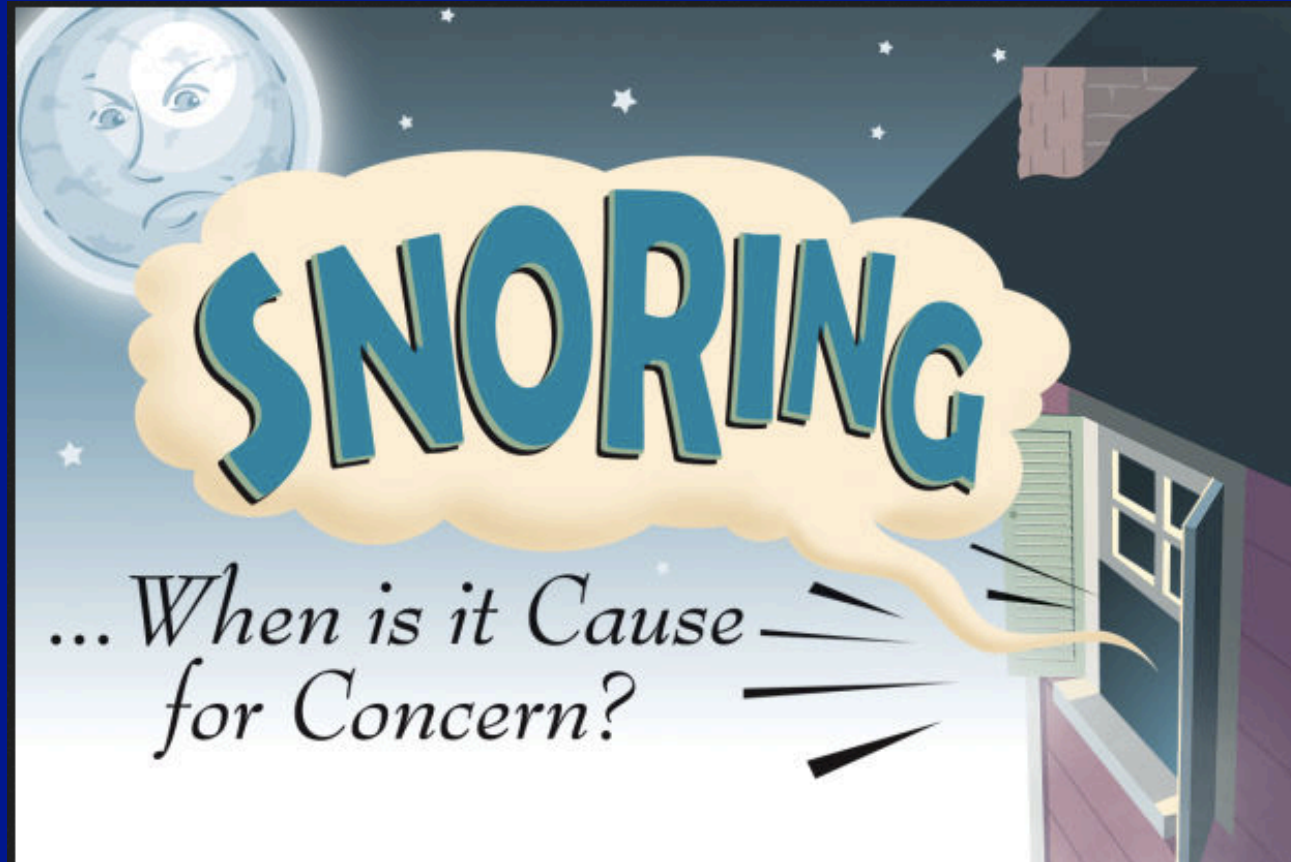
Young T et al. Sleep 1997; 20: 705-6
Finkle KJ et al Sleep Med 2009;10:753-8
Singh M et al BJA 2013; 110: 629-36

STOP-Bang questionnaire

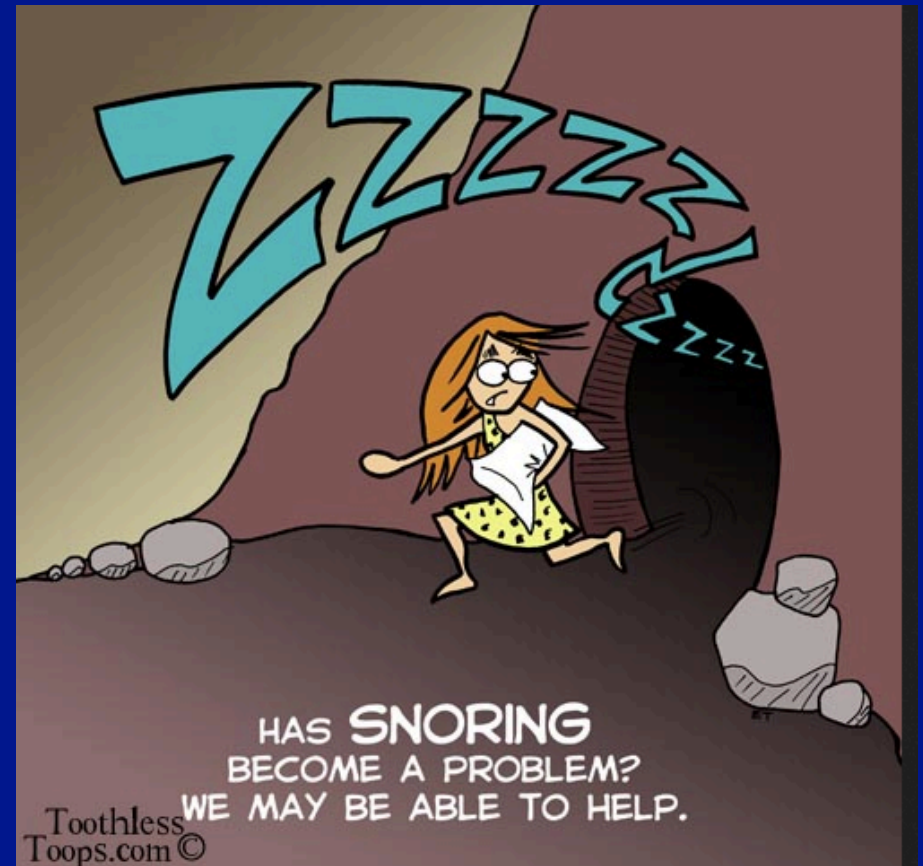
F Chung et al. Anesthesiology 2008; 108:1-10



1. Snoring



Do you **Snore Loudly** (loud enough to be heard through closed doors)?



Do you **Snore Loudly** (your bed-partner elbows you for snoring at night)?



2. Tired



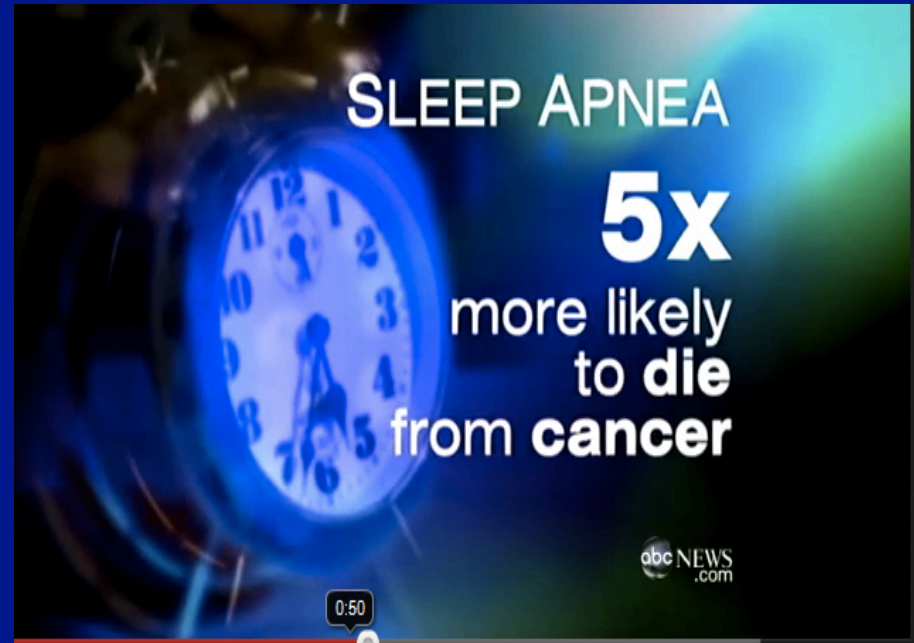
Do you often feel **Tired, Fatigued, or Sleepy** during the daytime (such as falling asleep during driving)?



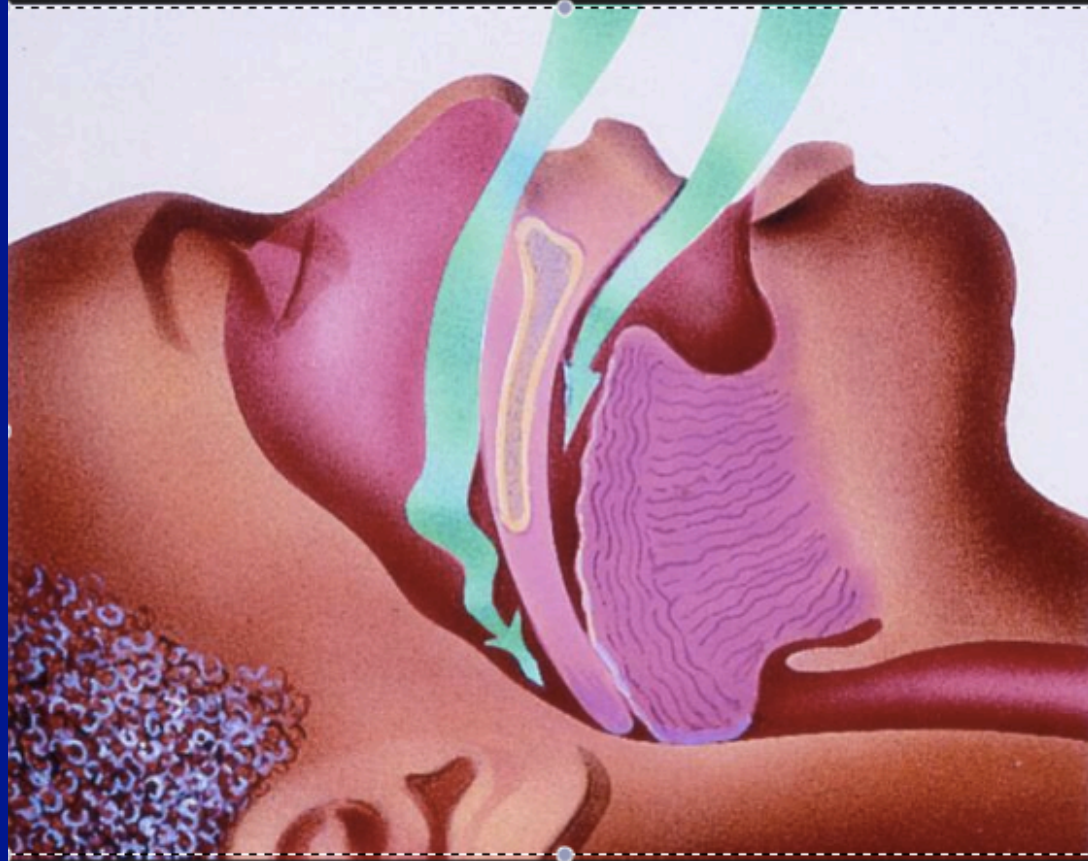
Do you often feel **Tired, Fatigued, or Sleepy** during the daytime?



Do you often feel **Tired, Fatigued, or Sleepy** during the **daytime** (such as falling asleep during driving)?



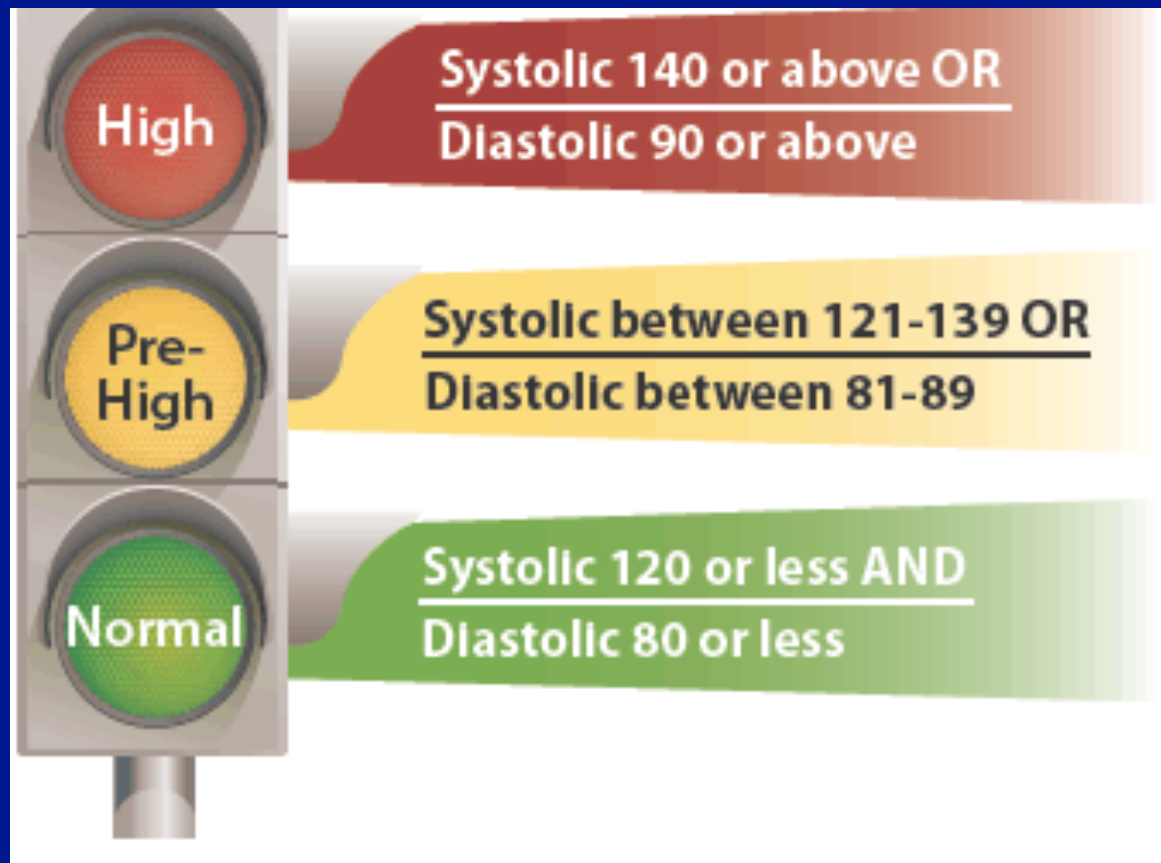
3. Observed not breathing?



Has anyone **Observed** you **Stop Breathing** or **Choking/Gasping** during your sleep?



4. Do you have or are being treated for High Blood Pressure?



5. BMI > 35 kg/m² ?



6. Age older than 50 year old?



7. Neck size large?



17 inches for male; 16 inches for female



8. Gender: male



STOP- Bang

- ✱ **S** Snoring
- ✱ **T** Tiredness / sleepiness / fatigue
- ✱ **O** Observed apnea
- ✱ **P** BP (>140/90) Rx or no Rx
- ✱ **B** BMI >35
- ✱ **A** Age >50
- ✱ **N** Neck circumference >40 cm
- ✱ **G** Gender male
- ✱ 3 / 8 questionnaire positive





Predictive Parameters for STOP-Bang Score of 3 or greater

Cutoff	Sensitivity	Specificity	PPV	NPP
AHI > 5	84	56	81	61
SnNout (high Sensitivity, Negative test = rule out)				90
STOP-Bang 0-2				90

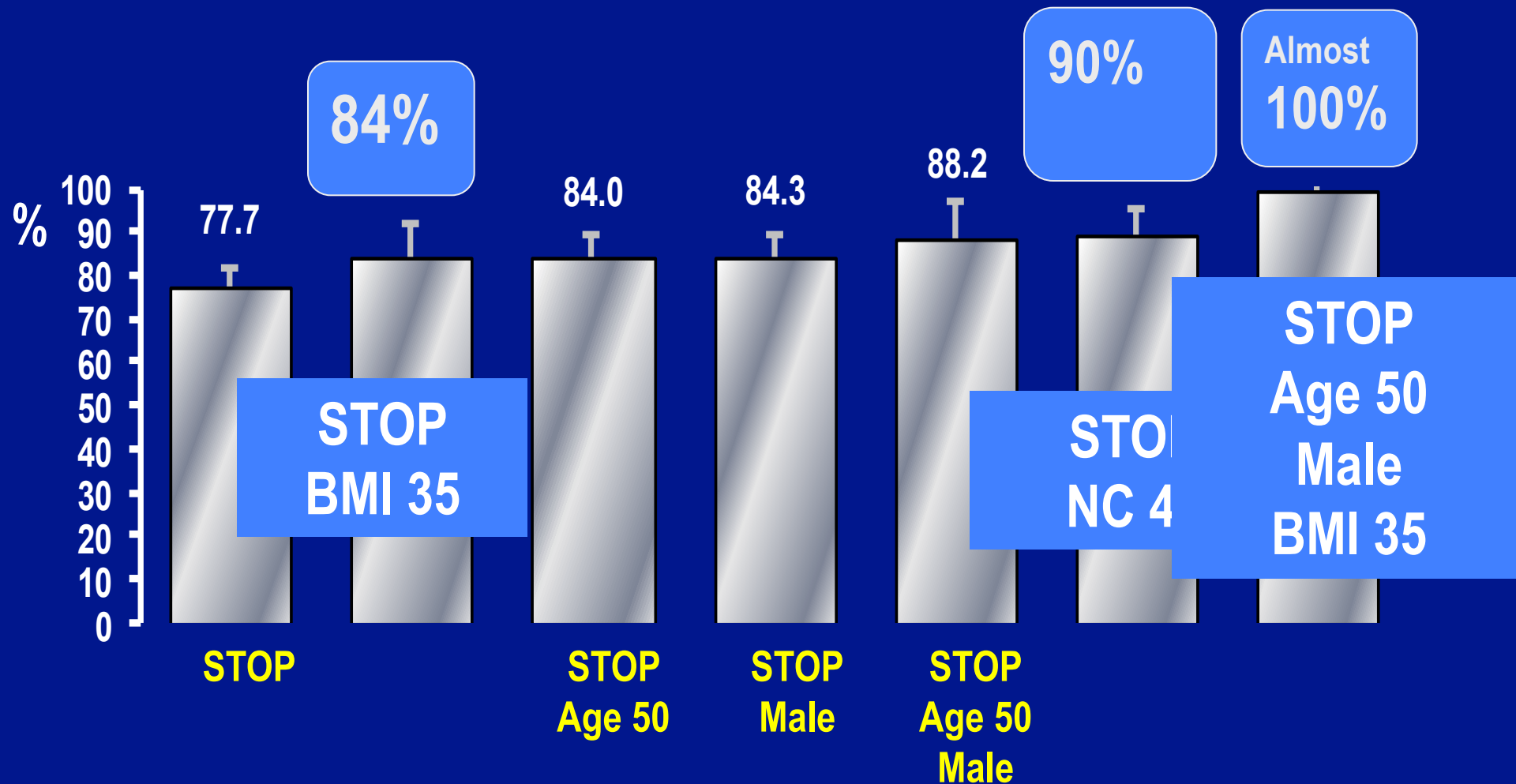
* Value expressed as percentage with 95% confidence interval

Predictive parameter of different pattern of factors in screening pt. with mod/ severe OSA

Chung F et al J Clin Sleep Med 2014

	N	Sensitivity %	Specificity %	Cutoff
STOP ≥ 2 + BMI >35	41	21	85	
STOP ≥ 2 + Age >50	117	59	56	
STOP ≥ 2 + Neck >40	66	34	79	
STOP ≥ 2 + Male	79	40	78	

PPV for STOP Combined With Other Factors



A higher STOP-Bang Score predicts mod/ severe OSA

	Sen (%)	Specificity (%)
STOP-Bang ≥ 5	56	74
STOP-Bang ≥ 6	28	88
STOP-Bang ≥ 7	12	96
STOP-Bang ≥ 8	0	99

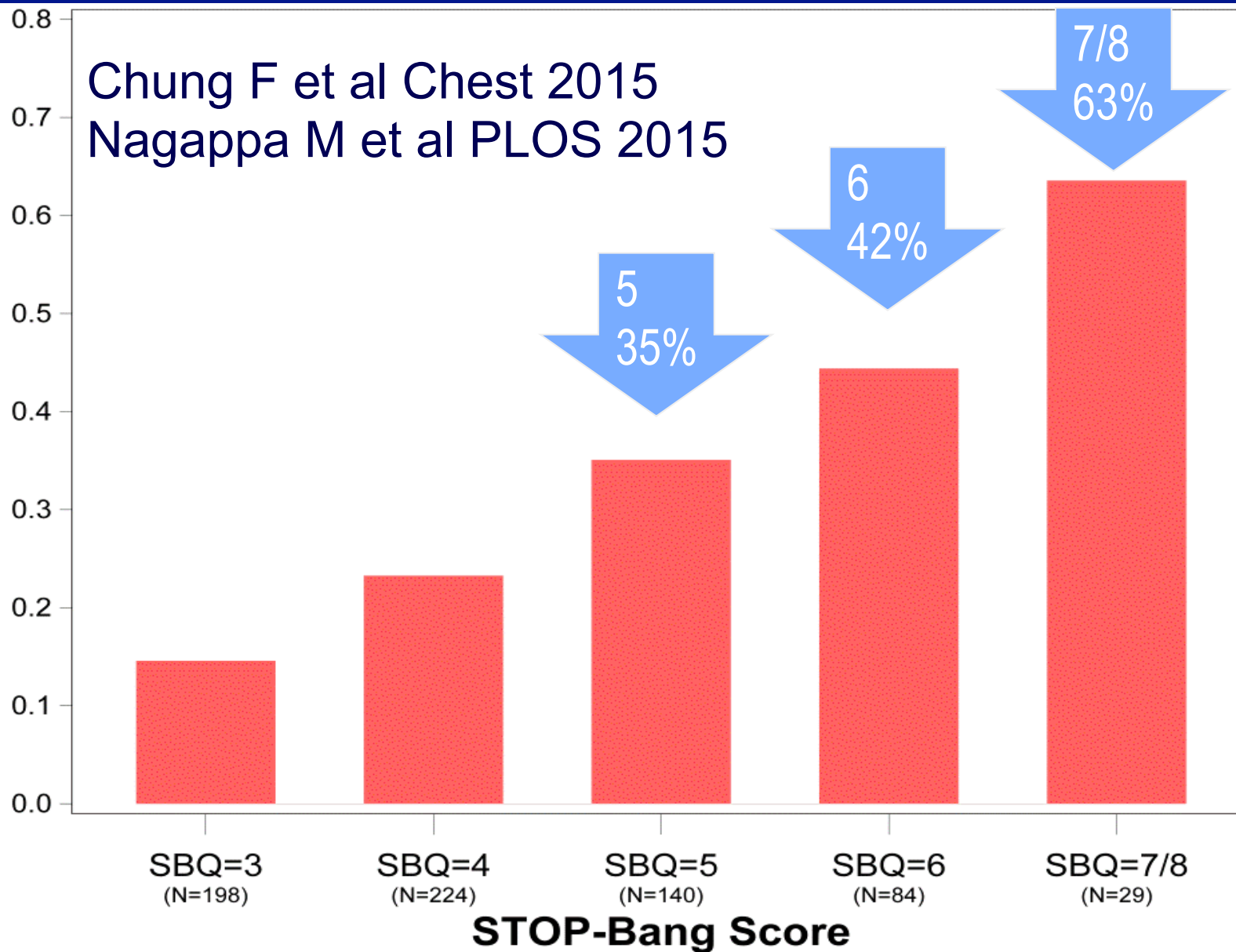


SpPin

High **Specificity**, **+ve** test, rule **in**

Chung F et al Chest 2015
Nagappa M et al PLOS 2015

Probability of Severe OSA

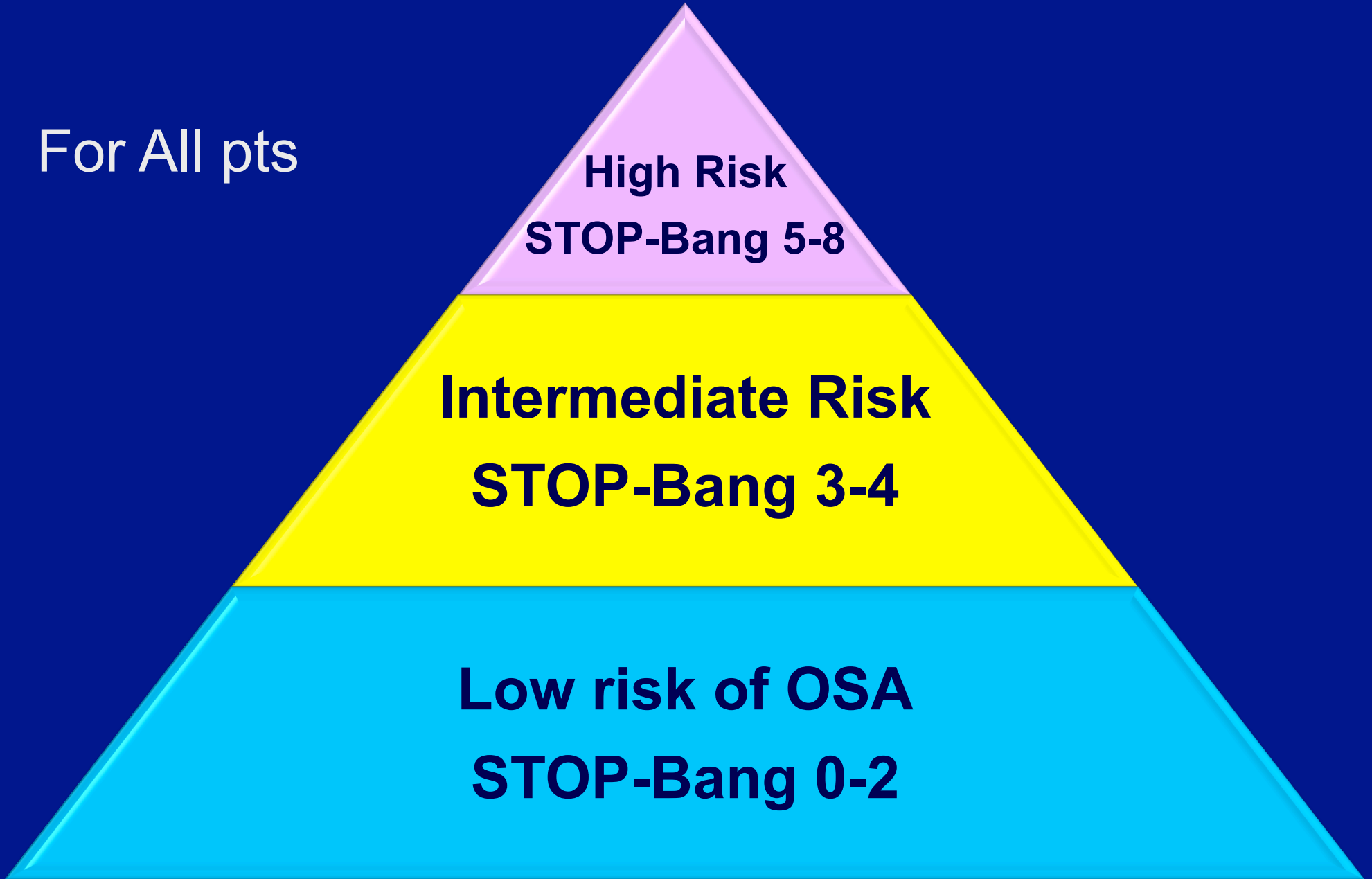


For All pts

High Risk
STOP-Bang 5-8

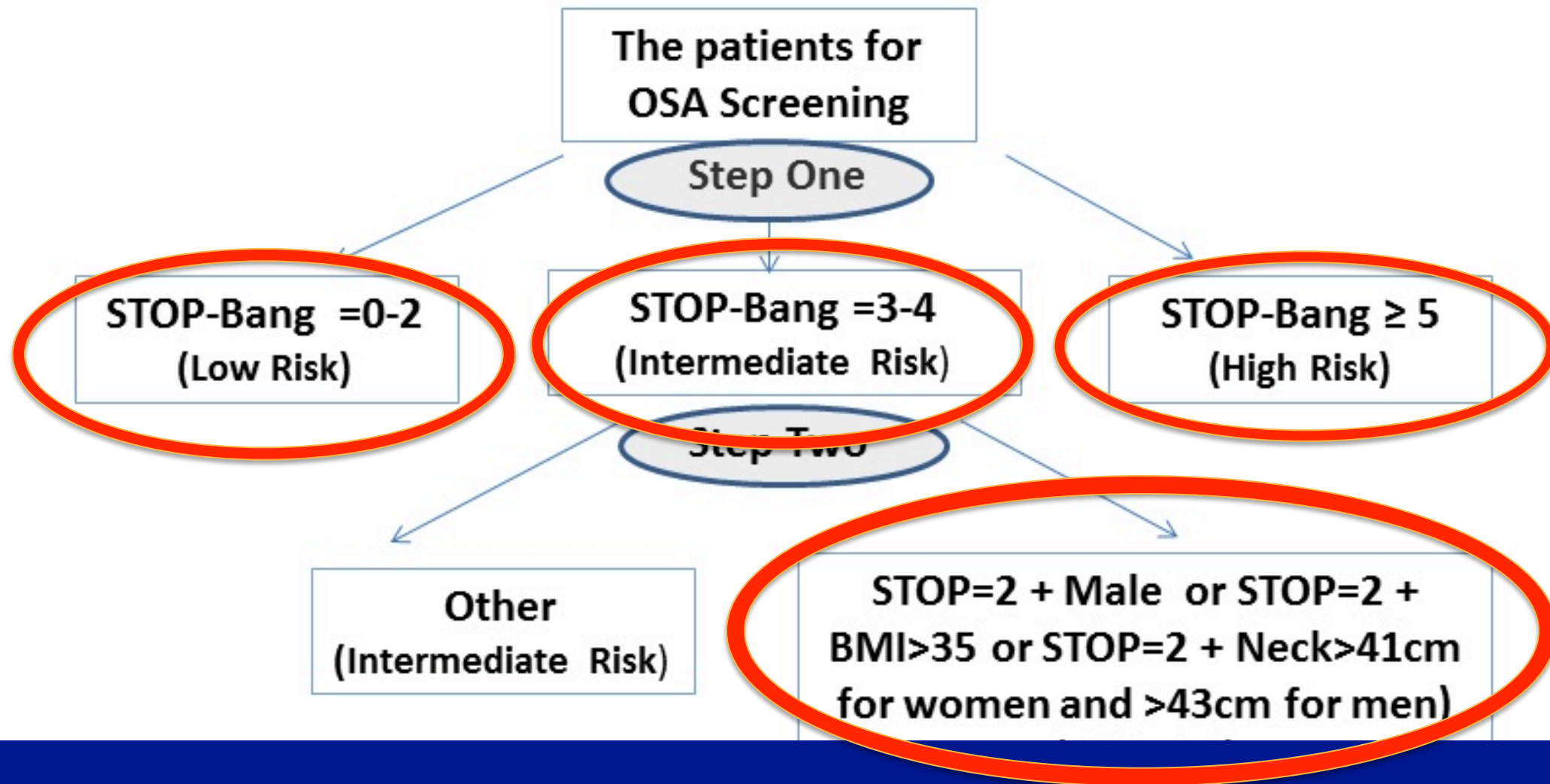
Intermediate Risk
STOP-Bang 3-4

Low risk of OSA
STOP-Bang 0-2



STOP-Bang Algorithm

Chung F et al. Chest 2016



www.stopbang.ca

STOPBang.ca Home Obstructive Sleep Apnea Patient Information Publications About Us



S Snoring
T Tiredness / sleepiness / fatigue
Observed apnea
P BP (>140/90) Rx or no

Questionnaire

Obstructive Sleep Apnea

Obstructive Sleep Apnea

STOPBang Questionnaire

Publications

Publications

The Team of Experts

Follow us on   The Official **STOPBang** Questionnaire Website Search

What's New

> [Serum Bicarbonate Level Improves](#)

Obstructive Sleep Apnea

STOPBang Questionnaire

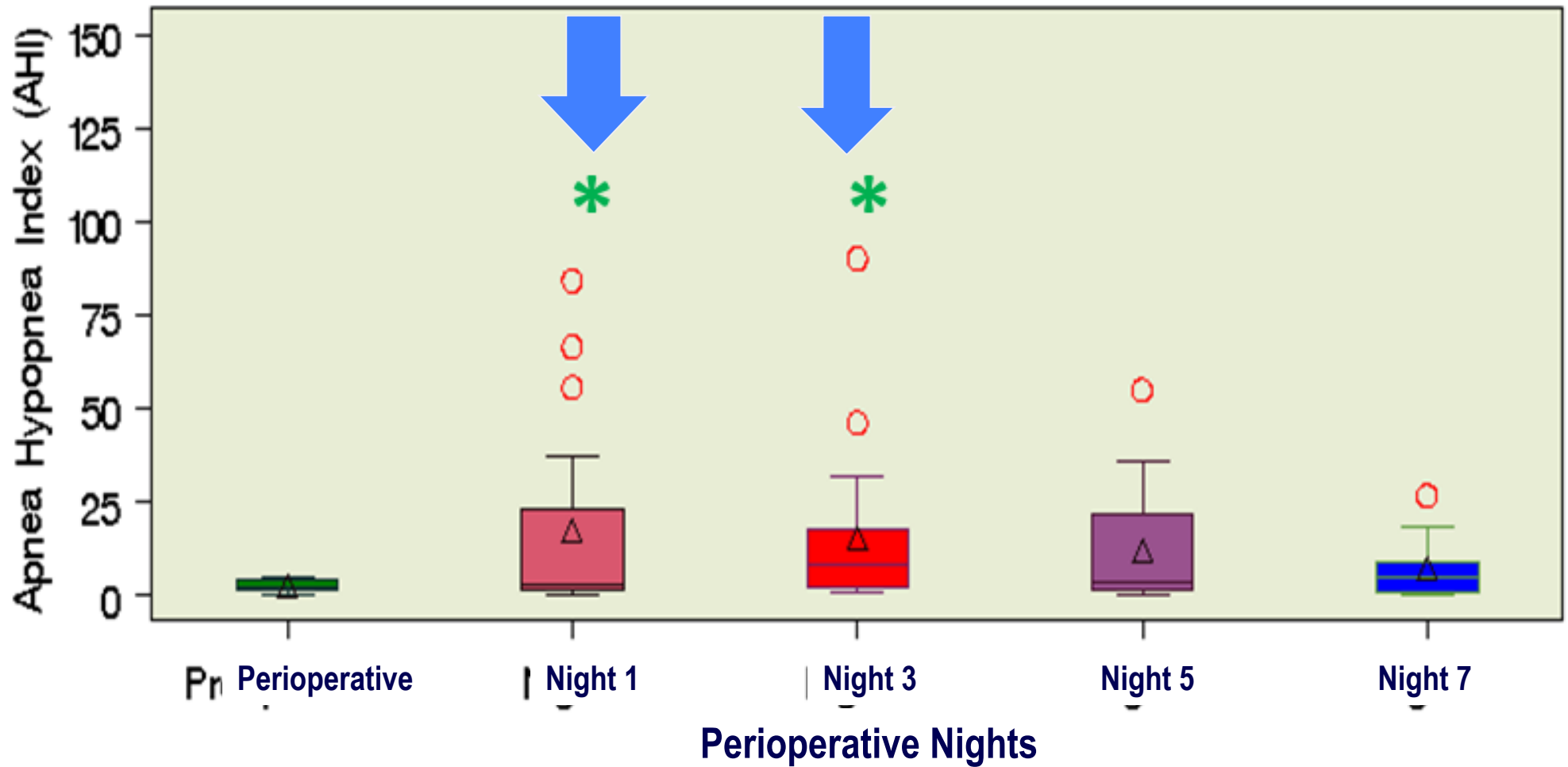
Recent Publications

OSA, STOP- Bang, Anesthesia

- ✦ Screening
- ✦ Anesthesia

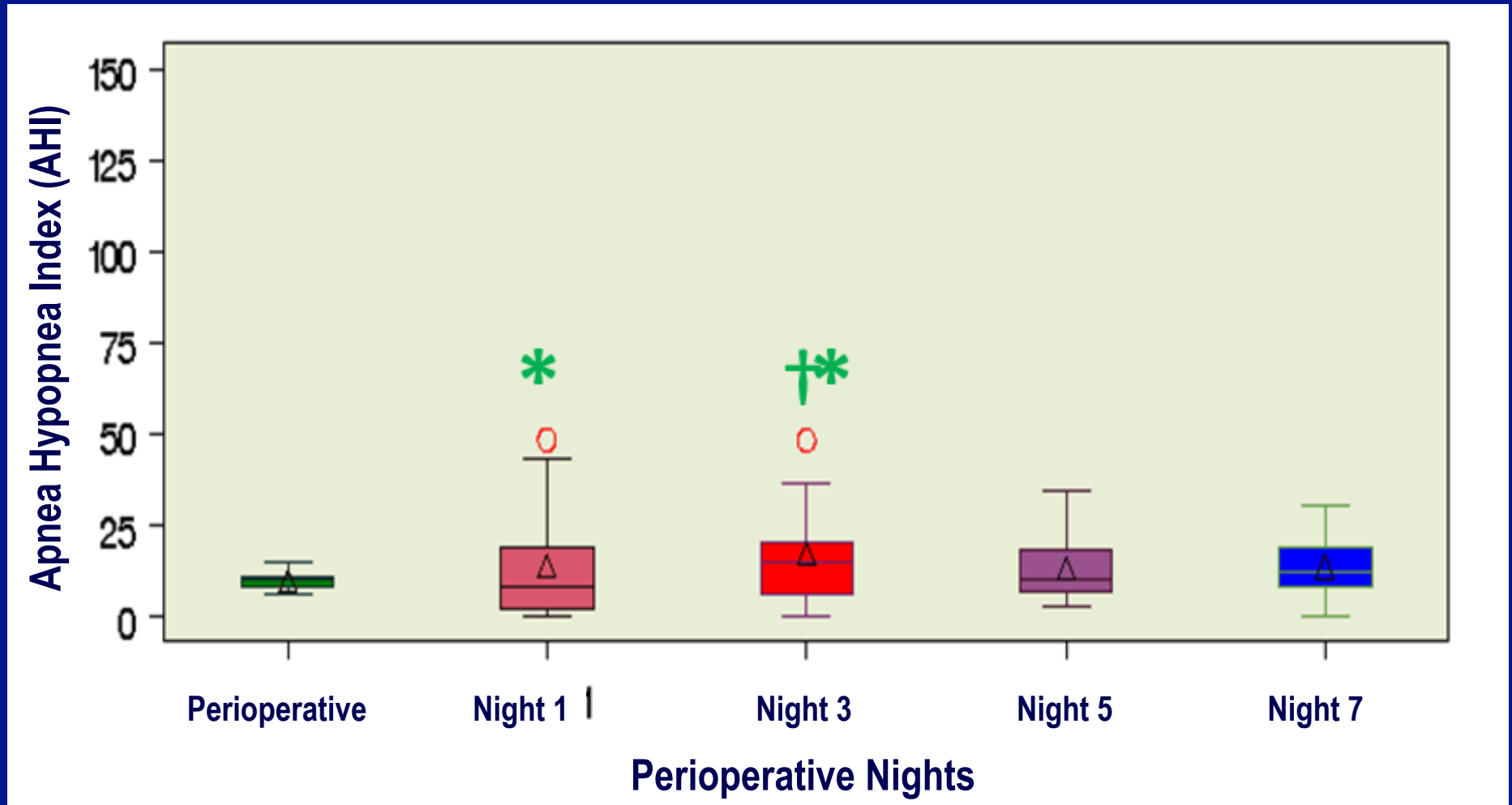


Non-OSA



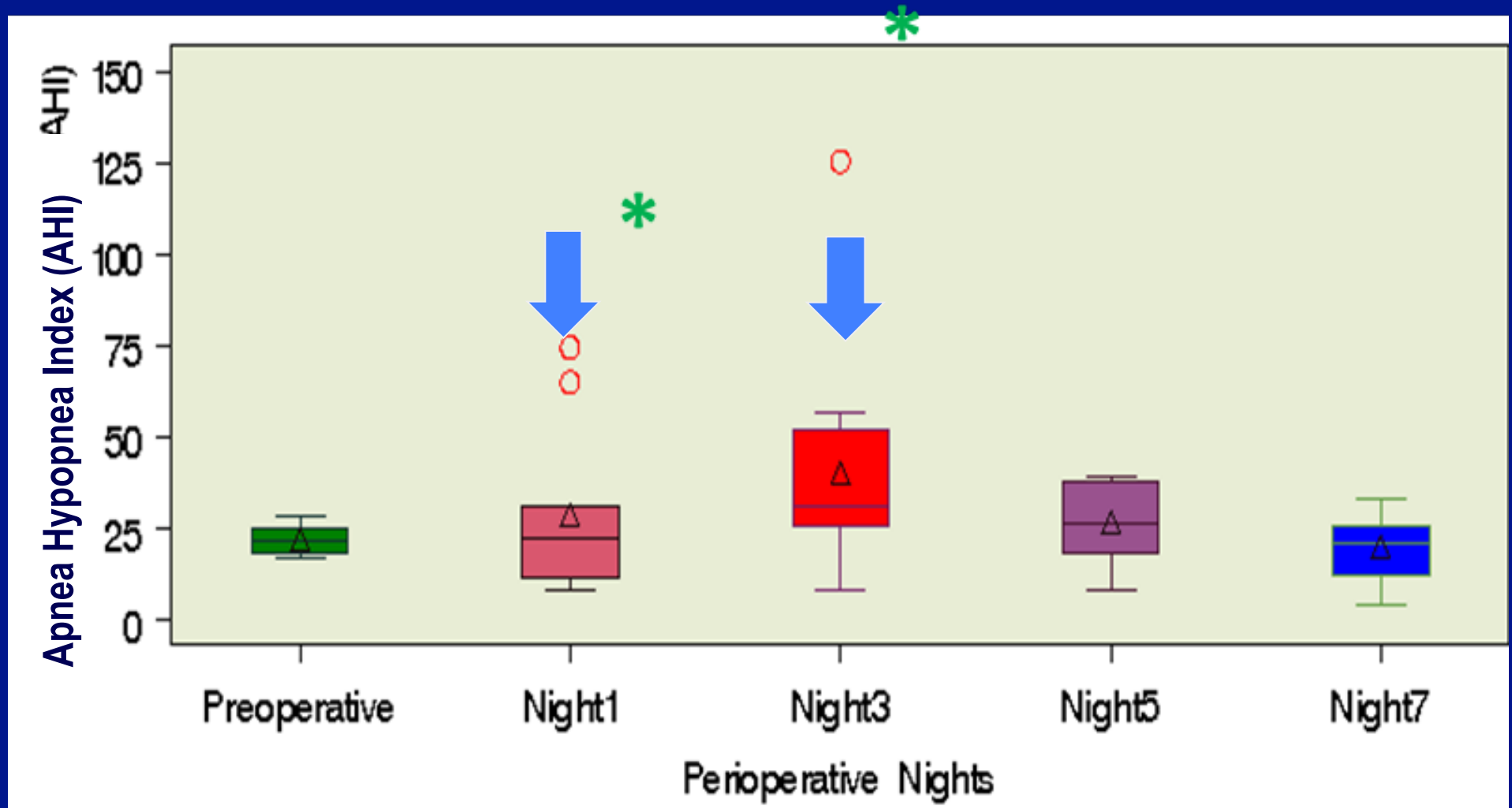
* : p<0.05 vs. Preop night ; †: p<0.05 vs. postop Night 1 Chung F et al, Anesthesiology 2014

Mild-OSA



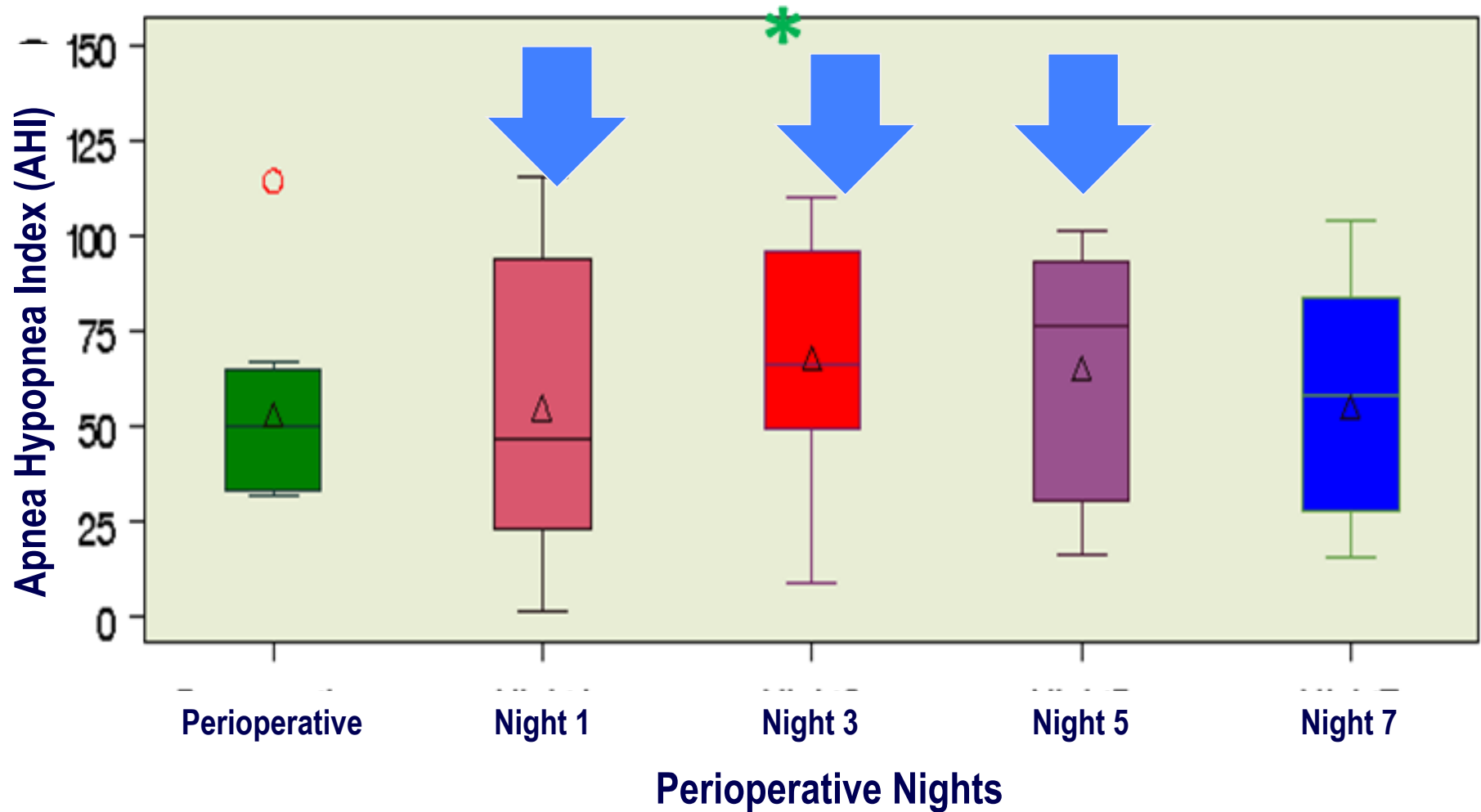
* : $p < 0.05$ vs. Preop night; † : $p < 0.05$ vs. postop night 1 Chung F et al, Anesthesiology 2014

Moderate-OSA



* : $p < 0.05$ vs. Preop night; † : $p < 0.05$ vs. postop N 1 Chung F et al, Anesthesiology 2014

Severe-OSA



* : $p < 0.05$ vs. Preop night ; † : $p < 0.05$ vs. postop N1 Chung F et al, Anesthesiology 2014

Respiratory arrests occurs on first 24h

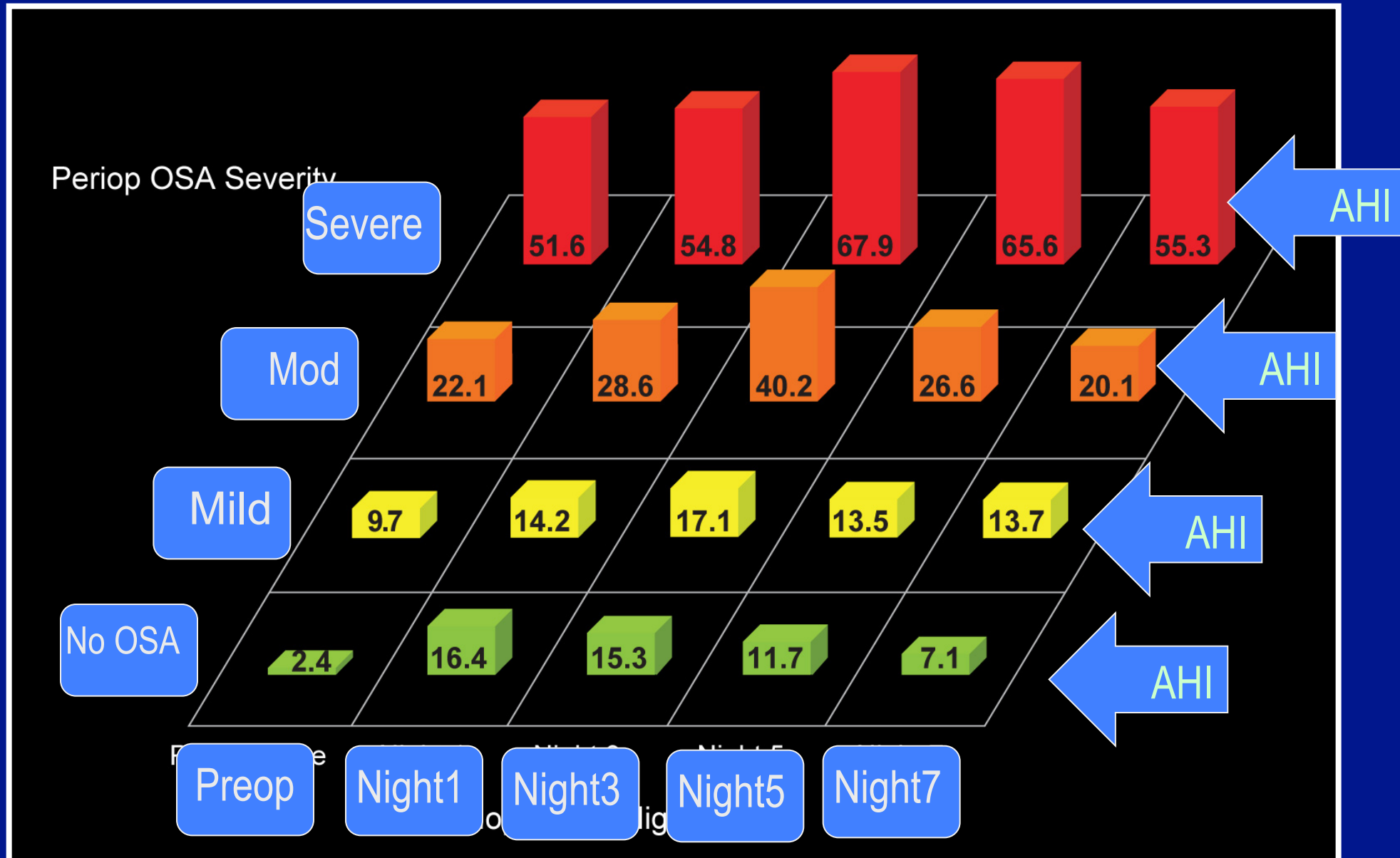
- ✱ Majority of respiratory depression or arrests occur 1st 24h
- ✱ Majority of emergent re-intubation 1st 24h
- ✱ OSA pt.: 85% re-intubation occur 1st 24h

Ramachandran SK et al. Anesthesiology 2011

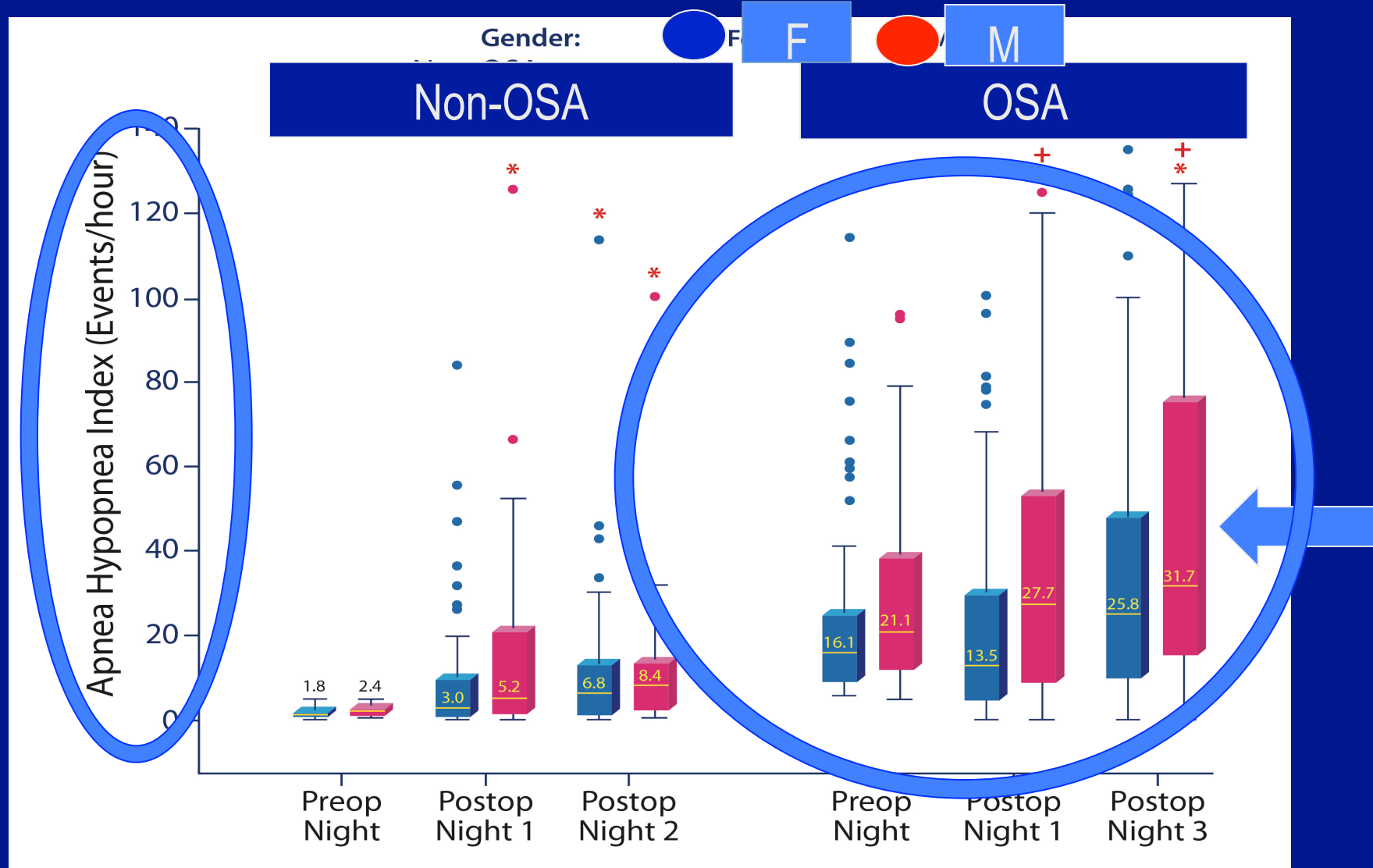
Mokhlesi B et al Chest 2013

Lee L Anesthesiology 2015

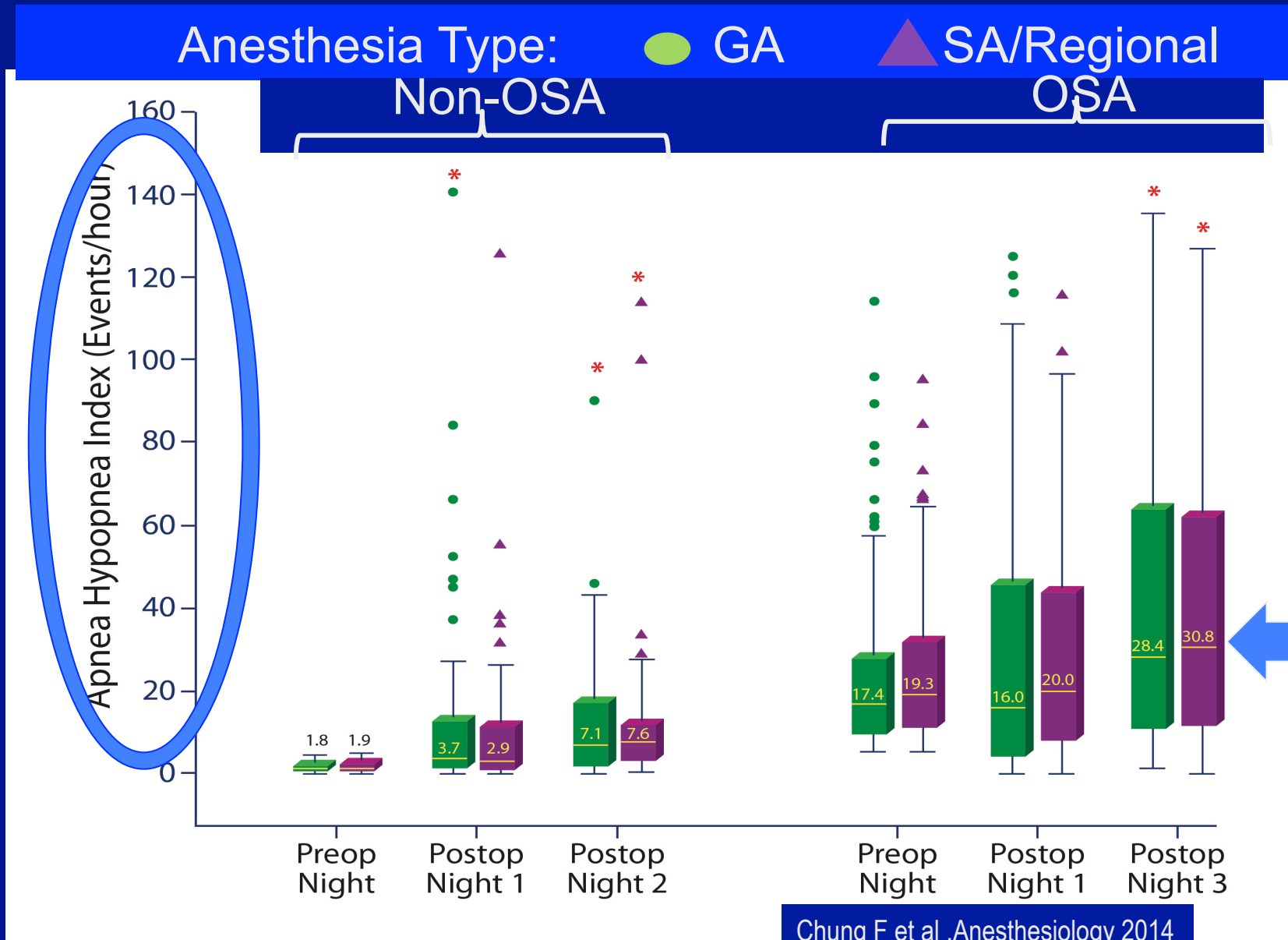
Apnea Hypopnea Index: no of apnea, hypopnea /h



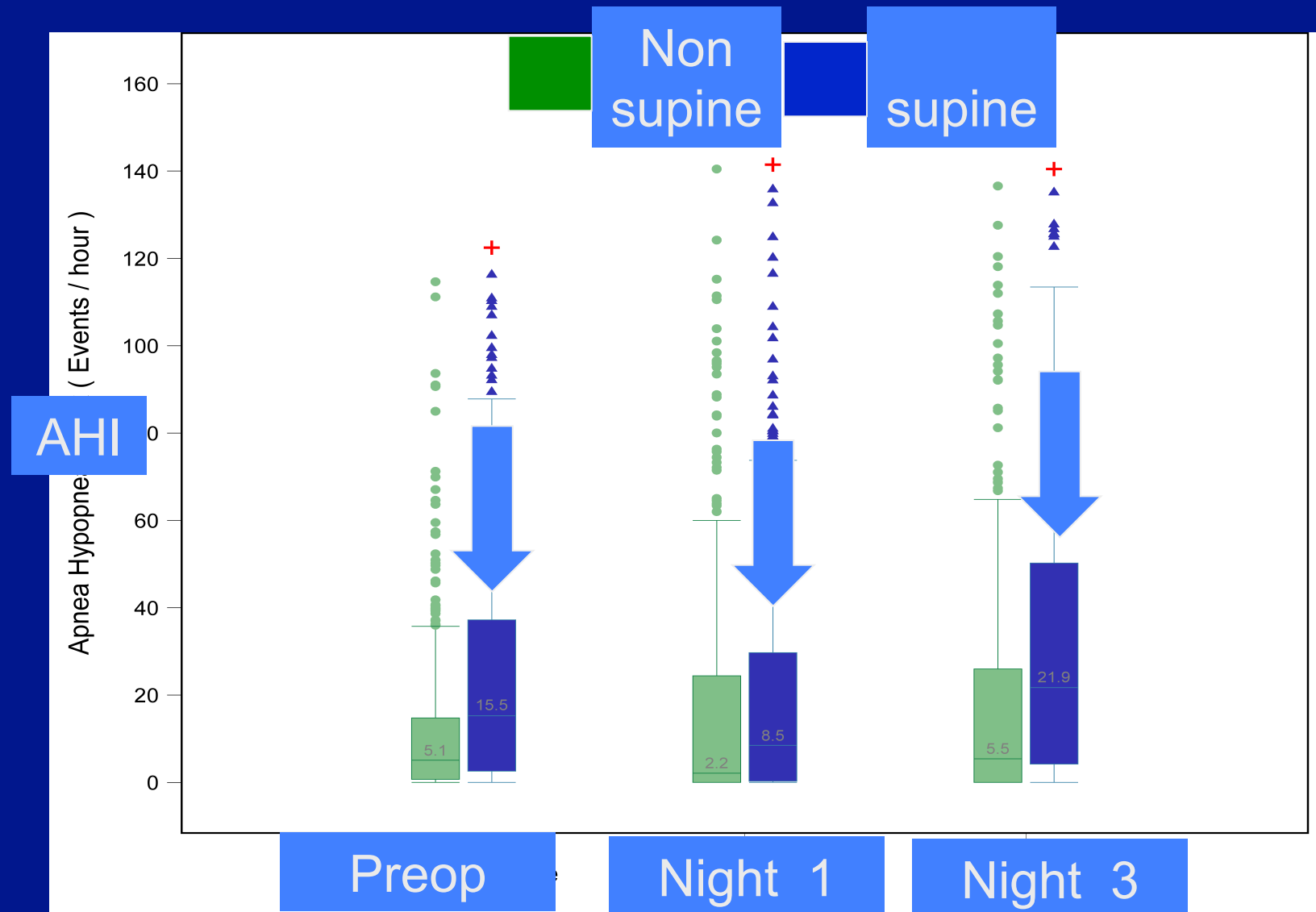
Apnea Hypopnea Index in Male and Female



Apnea Hypopnea Index in OSA patients with GA vs. RA



AHI in supine & non-supine position Chung et al. Anesthesiology 2014



OSA and anesthesia

- ✱ Higher preop AHI, age and 72h opioid dose
- ✱ Associated with increased postop AHI

- ✱ Higher preop central apnea index, male and GA
- ✱ Associated with increased postop central apnea index

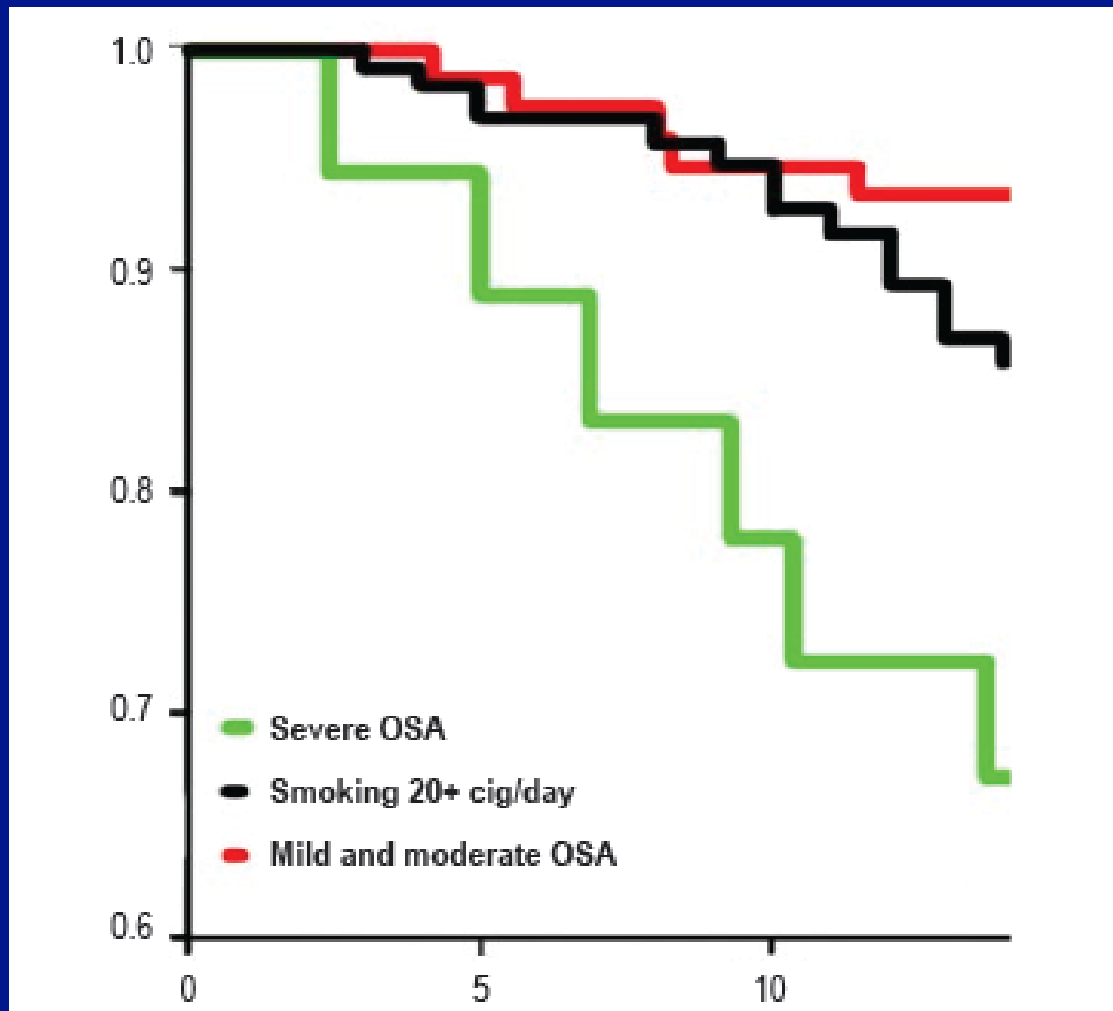
Preop Suspicion

- ✦ Recognize the problem
 - OSA is common

Anesthesiologists and PACU nurses: Airway specialists

We may be the 1st one to identify pt. having OSA

10 yr. life expectancy due to smoking vs. pt. with non-treated OSA



Mild & mod OSA

Smoker

Sev OSA

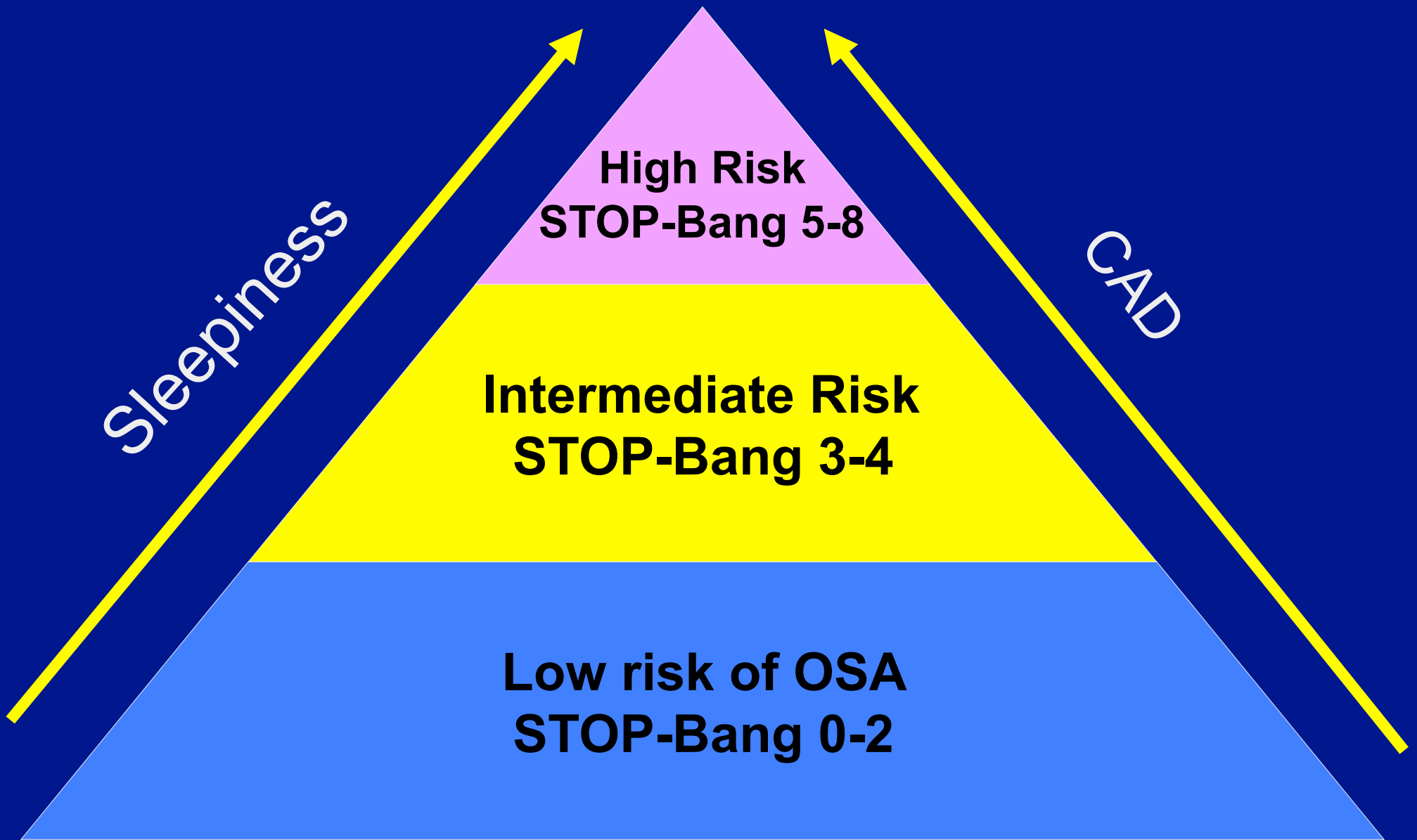
Yegneswaran B, et al. J Clin Sleep Med 2011; 7:315-16

Perioperative Surgical Home

- ✦ We refer pts for Rx of unDx hypertension, DM, angina.
- ✦ Should we refer suspected OSA pt.?
- ✦ Prolong life span by 20 yrs.

Should we screen for OSA in preop clinic and what should we do if +ve?

- ✱ What do we do if pt. are screened positive?
- ✱ When should we refer our patients?
- ✱ More research is needed



SASM Guideline on Preop Preparation of OSA pt noncompliant to PAP therapy or suspected OSA

Chung F et al. Submitted to Anesth Analg 2016

- ✱ Additional evaluation for preop optimization
 - ✱ i) Hypoventilation syndromes
 - ✱ ii) Severe pulmonary hypertension
 - ✱ iii) Resting hypoxemia in the absence of other cardiopulmonary disease

Canadian Thoracic Society Guideline for urgent referral of OSA pt.

- ✦ Critical patients with safety issue
- ✦ Pt. with high risk of OSA + daytime sleepiness
- ✦ Pt. with high risk of OSA +
 - Resistant hypertension
 - Nocturnal angina
 - CHF
 - COPD, hypercapnic resp failure

Outline

- ✱ Adverse events of patients with OSA
- ✱ How do we identify patients with OSA?
- ✱ Perioperative management of OSA pt.
- ✱ CPAP treatment

American Society of Anesthesiologists

- ★ Practice guideline for the periop
Mx of pt. with OSA

Anesthesiology 2014;120:268-86

Preoperative Screening

Seet, Chung Sleep Medi clinic 2013 8: 105-120

Seet, Chung Can J Anesth, 2010; 57: 849-64

Suspected OSA

```
graph TD; A[Suspected OSA] --> B[ ]; A --> C[ ];
```

Prepare diff airway

Short-acting anesth agents

Avoid opioids

Full NMBR

Extubate reverse Trendelenburg

CPAP

Seet, Chung CJA 2010; 57: 849-64

Seet, Chung Sleep Med Clin 2013

Seet, Chung UptoDate 2014

Documented OSA

Moderate or

MILD OSA

Prepare diff airway

Short-acting anesth agents

Avoid opioids

Full NMBR

Extubate reverse Trendelenburg

CPAP

Seet, Chung CJA 2010; 57: 849-64

Seet, Chung Sleep Med Clin 2013

Seet, Chung UptoDate 2014

What should we do with a preop pt. at high risk for sleep apnea?

What should we do if a patient with OSA is not compliant with CPAP?

★ Is CPAP effective to reduce postop Cx or shorten LOS?





"I'm Mr. Bagley's attorney. Do you promise to hit the vein, the whole vein and nothing but the vein, so help you God?....."

Anesth Analg 2015

Perioperative Complications in Obstructive Sleep Apnea Patients Undergoing Surgery: A Review of the Legal Literature

Nick Fouladpour, MD,* Rajinish Jesudoss, MD,† Norman Bolden, MD,‡ Ziad Shaman, MD,† and Dennis Auckley, MD†

BACKGROUND: Obstructive sleep apnea (OSA) is common in patients undergoing surgery. OSA, known or suspected, has been associated with significant perioperative adverse events, including severe neurologic injury and death. This study was undertaken to assess the legal consequences associated with poor outcomes related to OSA in the perioperative setting.

METHODS: A retrospective review of the legal literature was performed by searching 3 primary legal databases between the years 1991 and 2010 for cases involving adults with known or suspected OSA who underwent a surgical procedure associated with an adverse perioperative outcome. OSA had to be directly implicated in the outcome, and surgical mishaps (i.e., uncontrolled bleeding) were excluded. The adverse perioperative outcome had to result in a lawsuit that was then adjudicated in a court of law with a final decision rendered. Data were abstracted from each case regarding patient demographics, type of surgery, type and location of adverse event, associated anesthetic and opioid use, and legal outcome.

RESULTS: Twenty-four cases met the inclusion criteria. The majority (83%) occurred in or after 2007. Patients were young (average age, 41.7 years), male (63%), and had a known diagnosis of OSA (96%). Ninety-two percent of cases were elective with 33.3% considered general procedures, 37.5% were ears, nose and throat procedures for the treatment of OSA, and 29.1% were considered miscellaneous interventions. Complications occurred intraoperatively (21%), in the postanesthesia care unit (33%), and on the surgical floors (46%). The most common complications were respiratory arrest in an unmonitored setting and difficulty in airway management.

OSA and Anesthesia

- ✦ Perioperative management

American Society of Anesthesiologists

- ★ Practice guideline for the periop
Mx of pts. with OSA

Anesthesiology 2014; 120:268-86

Anesthetic Mx is determined by 4 factors:

- ★ Severity of OSA
- ★ Mx of OSA: CPAP or not
- ★ Surgical procedure: major or minor
- ★ Postop analgesic requirement: opioids or not

Sleep Apnea and difficult intubation

- ✱ Difficult endotracheal intubation in pts with OSA.
- ✱ 22% incidence

JMA Siyam, Anesth Analg 2002; 95:1098-1102

Kim JA, Lee JJ, CJA;2006:53:393-7

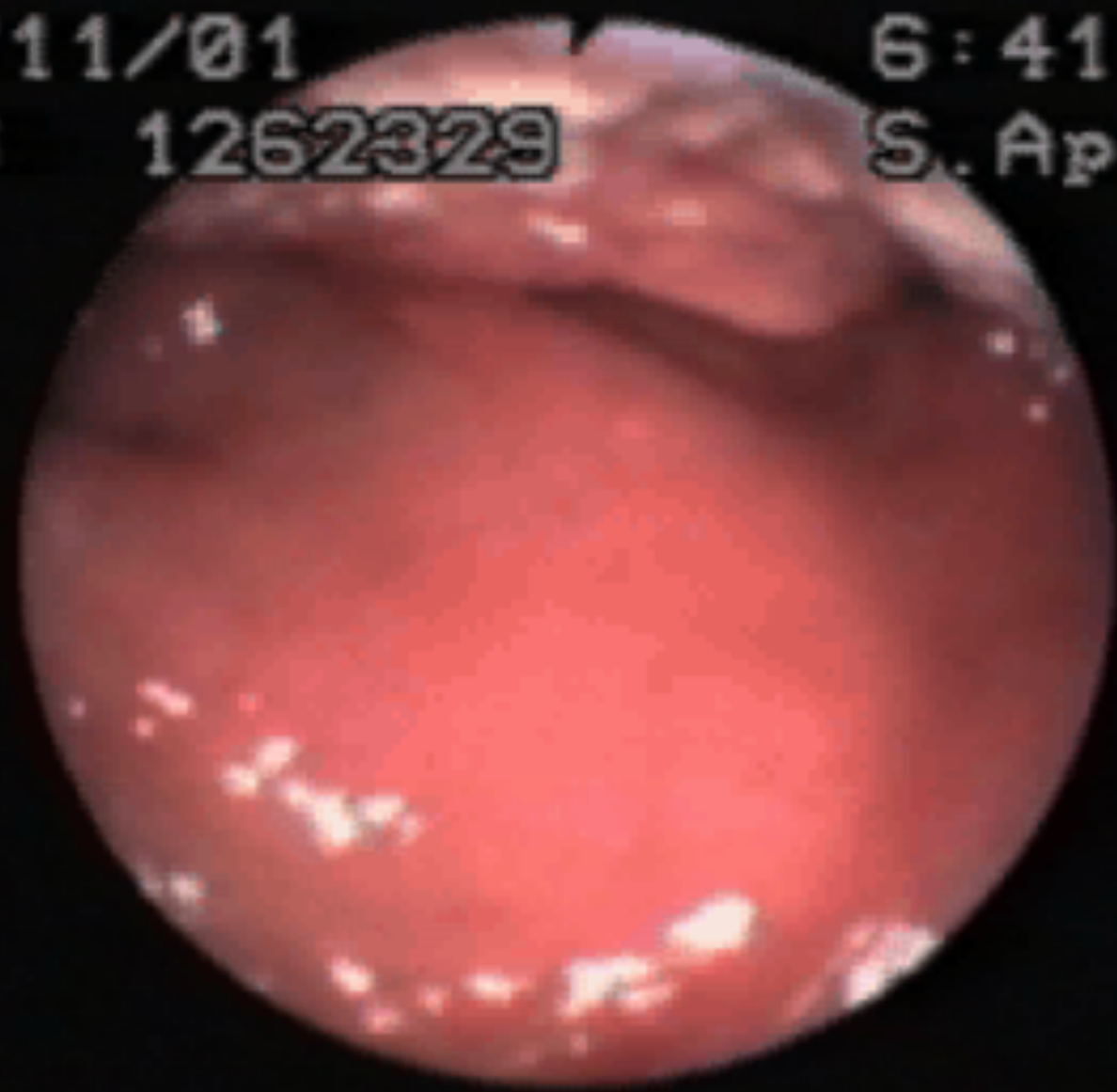
Neligan PJ et al Anesth Analg 2009;109:1182-86

10/11/01

6:41 AM

A.B 1262329

S. Apnea



RA better in OSA pt.

- ✱ 40,316 hip and knee arthroplasty pt. with obstructive sleep apnea
- ✱ RA: Decreased need for mechanical ventilation, ICU, LOS and cost

Patient controlled analgesia

- ✱ Patient central apnea
- ✱ Patient cardiac arrest
- ✱ Please call attorney

Perioperative Management

Seet, Chung Can J Anesth, 2010; 57: 849-64

Seet, Chung Sleep Med Clin 2013; 8: 105-120

Seet, Chung Uptodate 2014

Anesthesia Pt. Safety Foundation Recommendations

- ✦ Continuous monitoring of oxygenation and ventilation should be available for all postop pts.

Postop Mx of OSA

Patient position

- ✱ A sitting or lateral position
- ✱ Use of a pillow for sniffing position

Isono S *Anesthesiology* 2002; 97

Isono S *Anesthesiology* 2005;103:489-94

■ 2008 JOURNAL SYMPOSIUM: A PRECARIOUS BREATH: DIAGNOSIS AND MANAGEMENT OF DIFFICULT AIRWAYS AND OBSTRUCTIVE SLEEP APNEA

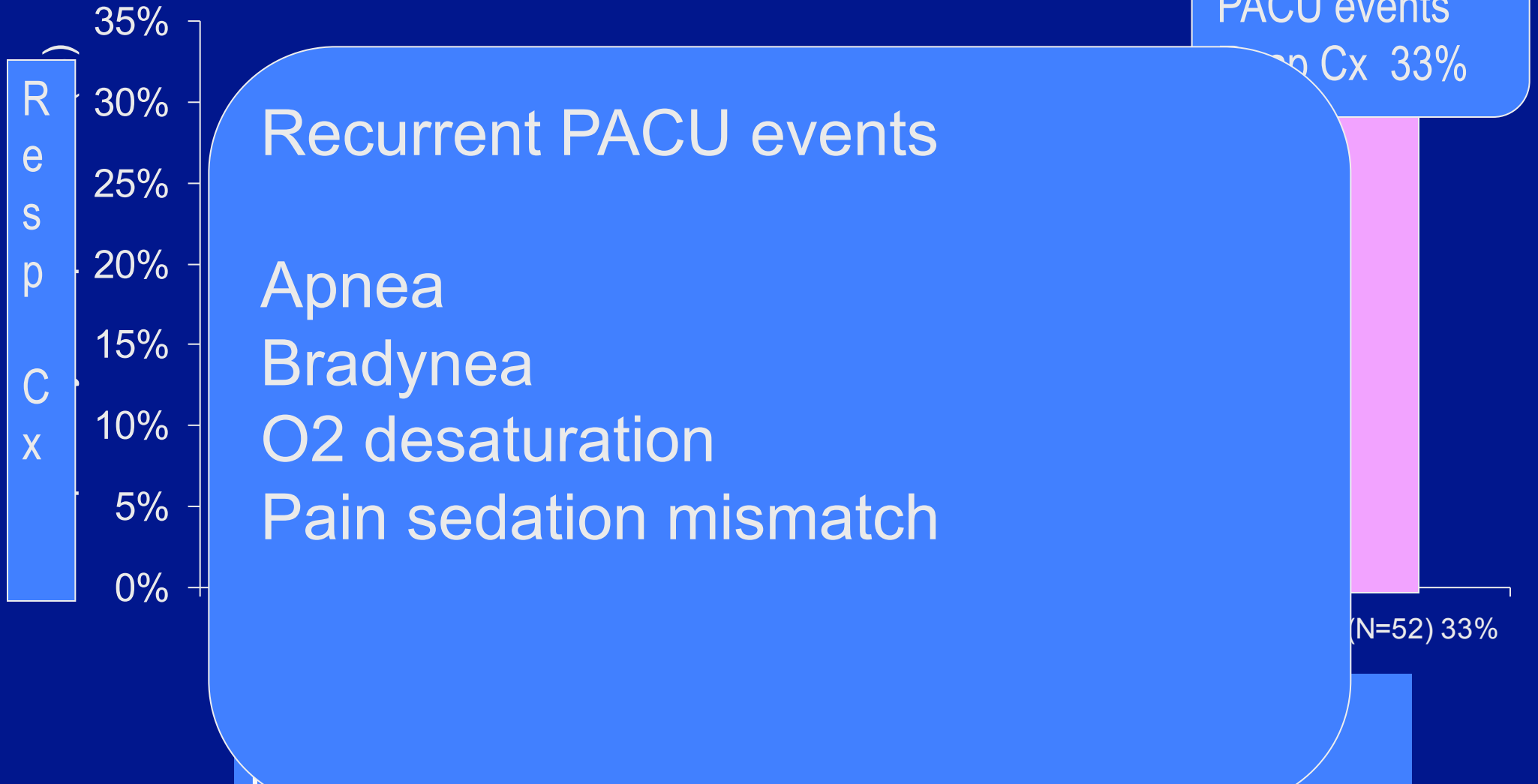
Anesthesiology 2009; 110:869-77

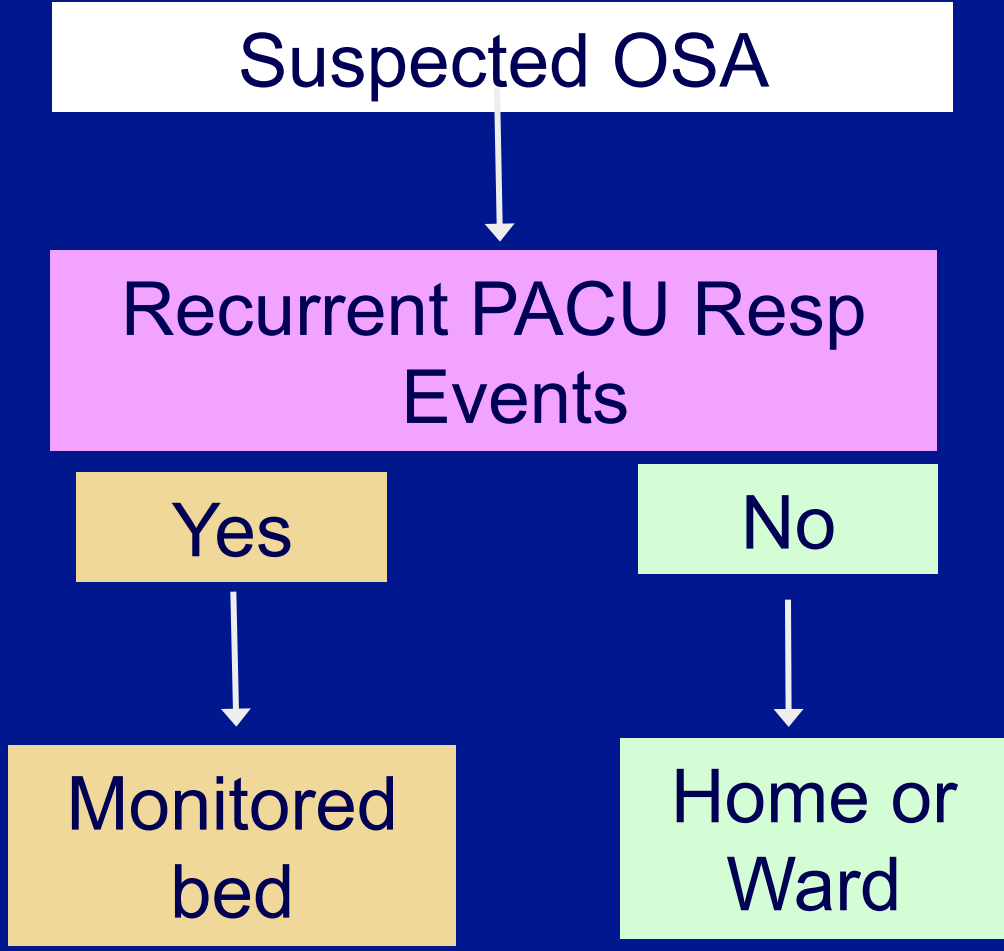
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Identification of Patients at Risk for Postoperative Respiratory Complications Using a Preoperative Obstructive Sleep Apnea Screening Tool and Postanesthesia Care Assessment

Bhargavi Gali, M.D.,* Francis X. Whalen, M.D.,* Darrell R. Schroeder, M.S.,† Peter C. Gay, M.D.,‡ David J. Plevak, M.D.§

Recurrent PACU events predicts postop resp Cx





E Seet & F Chung Can J Anesth 2010; 5 849-64
E Seet & F Chung Sleep Med Clin 2013
E Seet & F Chung UptoDate 2014

Known OSA Pt.

PACU > 60 m after Aldrete

- Noncompliant CPAP
- Moderate to severe obstructive sleep apnea
- Significant PACU resp events

Yes

Postop
Monitoring

No

Ward or
home

Seet & Chung Can J Anesth 2010
Seet & Chung Sleep Med Clin 2013
Seet & Chung UptoDate 2014

Known OSA
Day Surgery

Suspected OSA
Day Surgery



Optimized
conditions
opioids, C

Optimized medical conditions

ons
ds

Minimal opioids at home

Proceed with day
surgery

Not suitable for
day surgery

Proceed with day
surgery

Obstructive sleep apnea pt. for ambulatory surgery

- ✱ Communication is essential
- ✱ Inform surgeons, nurses, patients of risks
- ✱ Home prescription: Avoid opioids
- ✱ Inform pt. and family
- ✱ Sleep in recliner
- ✱ Cut narcotic pill in half

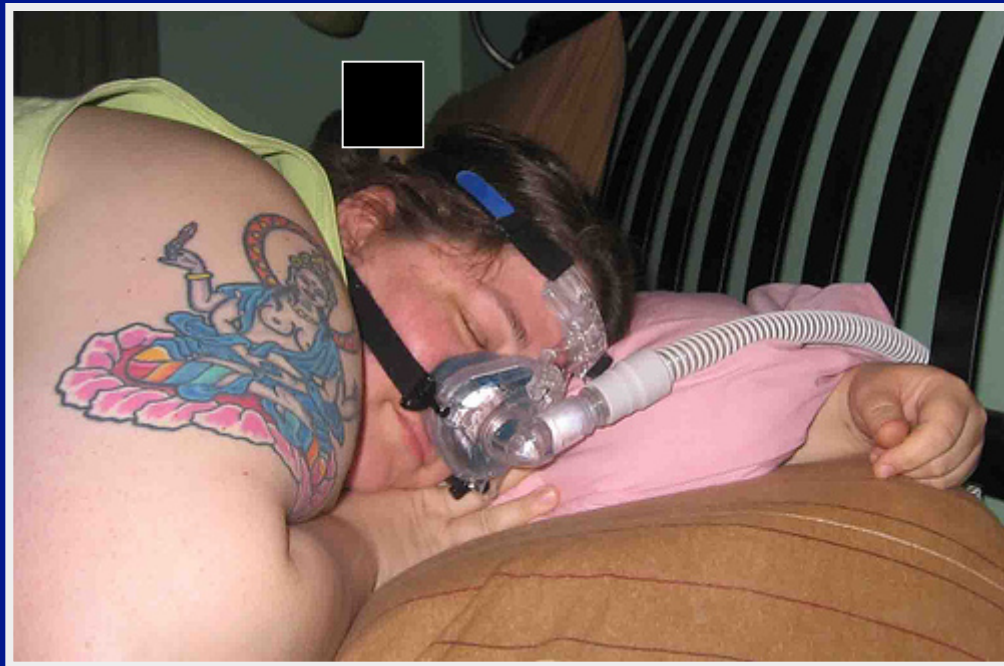


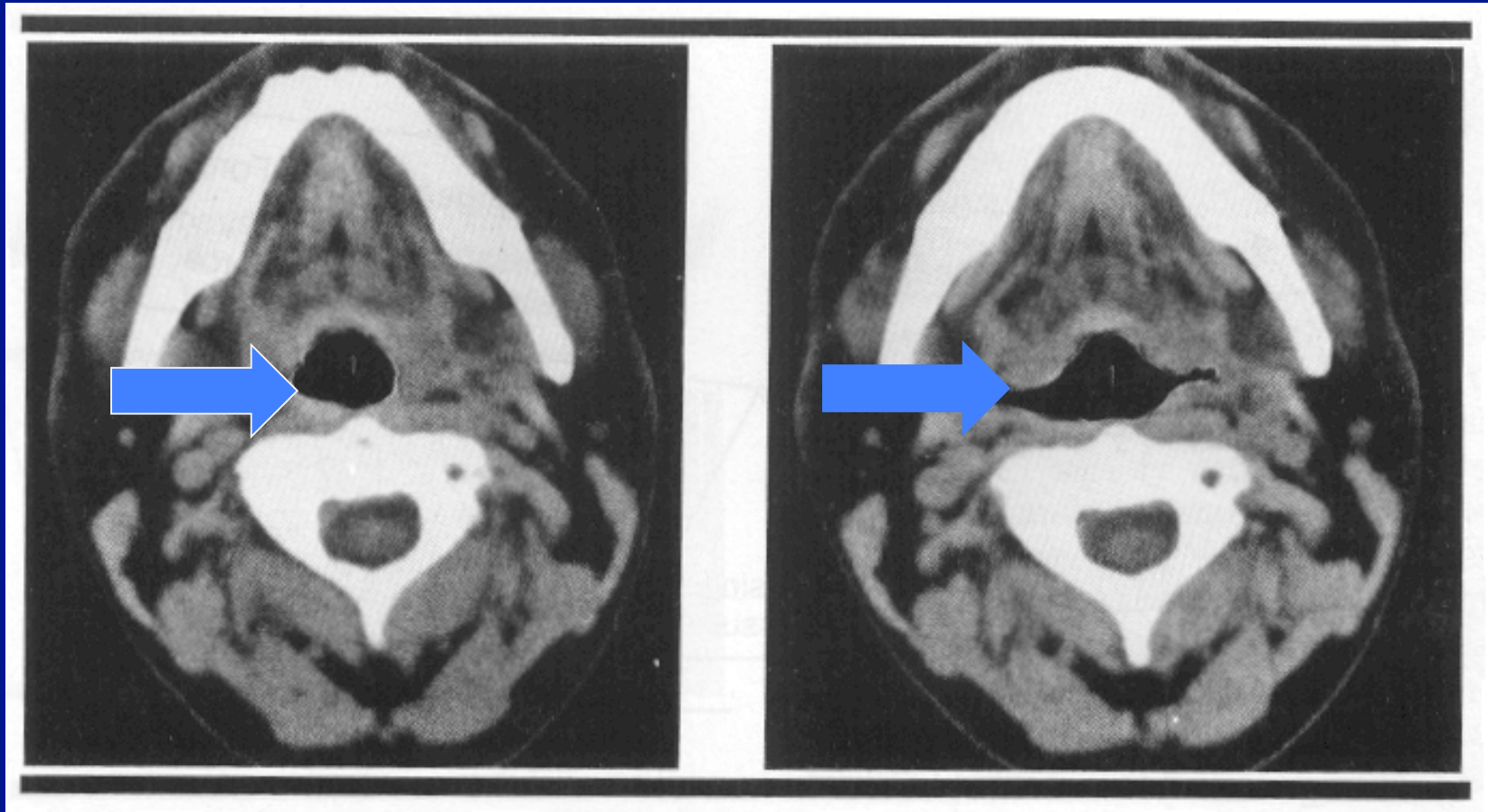
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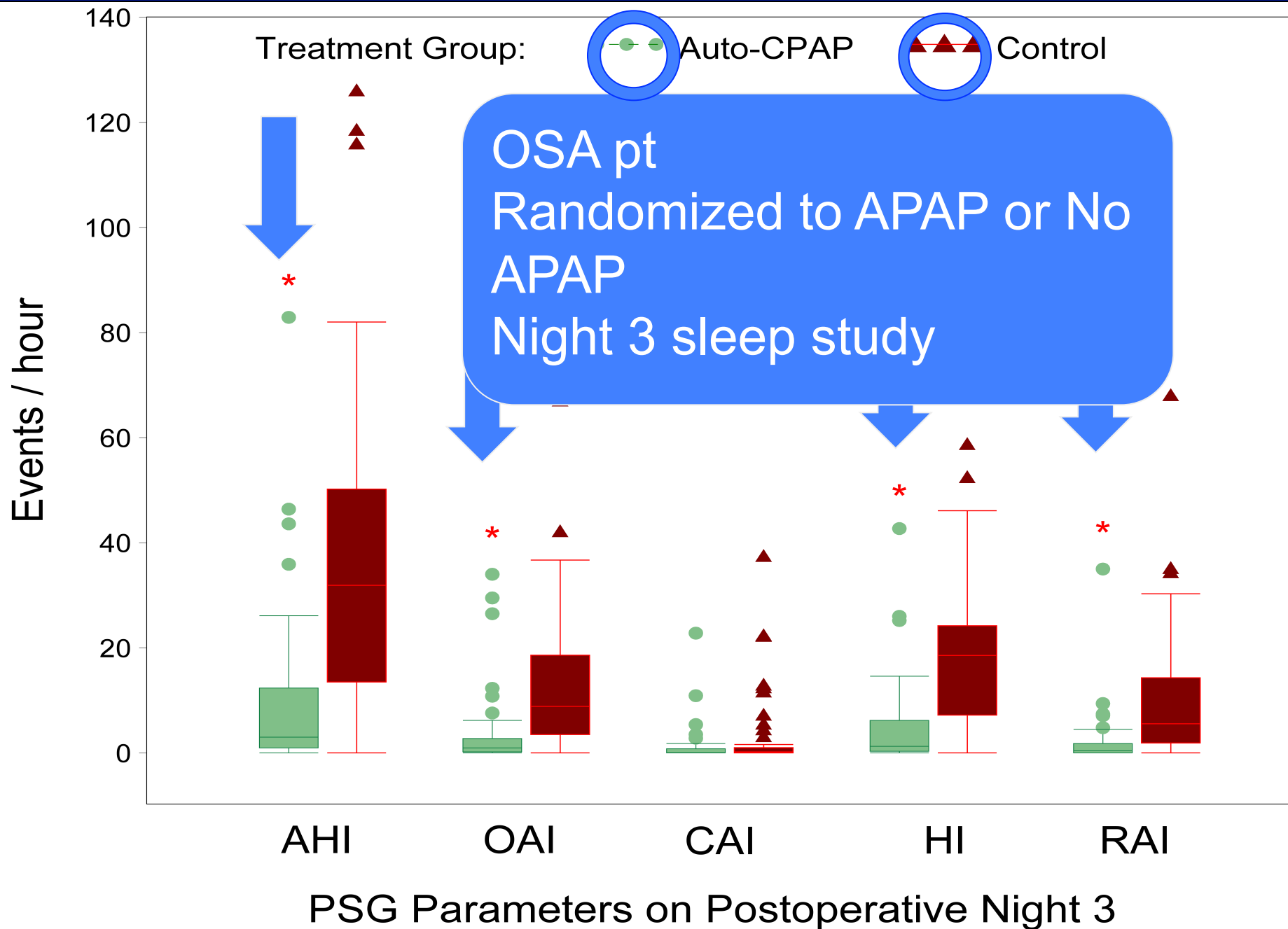
Can CPAP help?

Is it effective to reduce postop adverse events? LOS? AHI? Respiratory function?

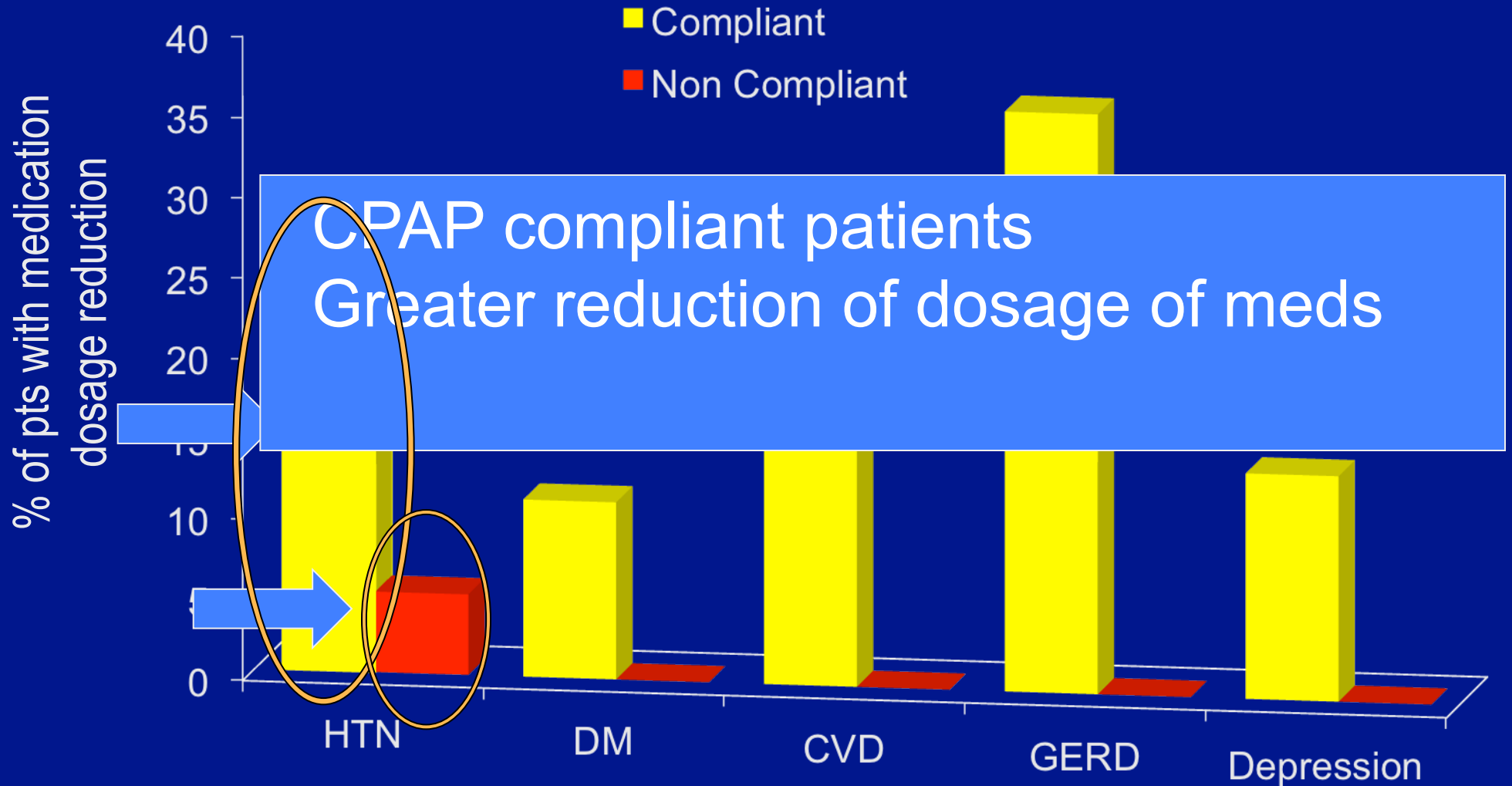




ST Kuna, G Sang' Ambrogio, JAMA 1991; 266:1384-9

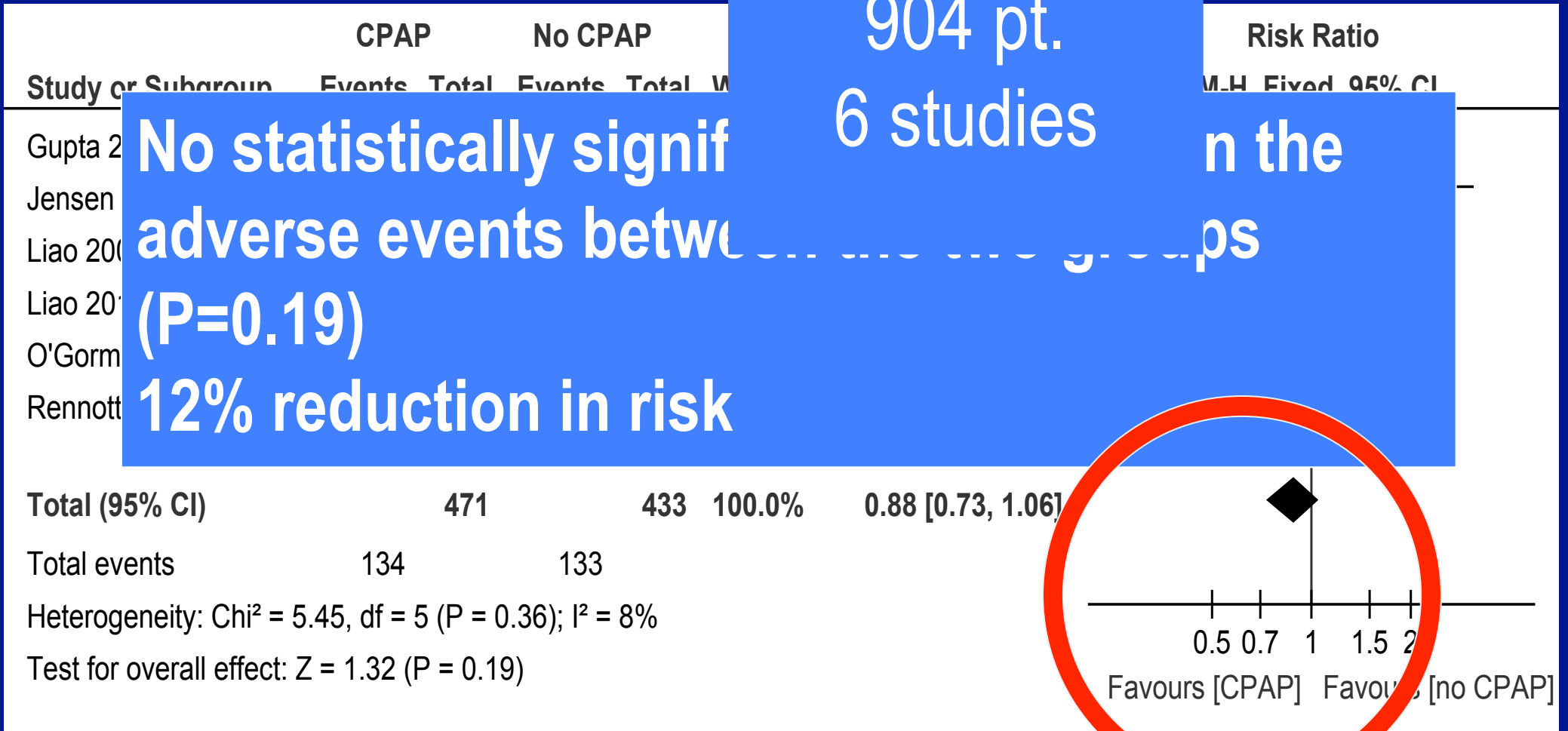


CPAP compliant pt. have greater reduction of medication dosage



Forest plot of postop adverse events

Nagappa M et al. Anesth Analg 2015



Apnea-Hypopnea Index

Nagappa M et al. Anesth Analg 2015

<u>Study</u>	<u>Preop AHI</u>	<u>Postop AHI</u>	P value
	Mean \pm	Mean \pm SD	
Use of postop CPAP			
Liao 2	AHI reduced by 25 events/h		
Renno 1995	Postop AHI still elevated (12 events/h)		
Total	37 \pm 19	12 \pm 16	<0.001

Forest plot of length of hospital stay

Nagappa M et al. Anesth Analg 2015

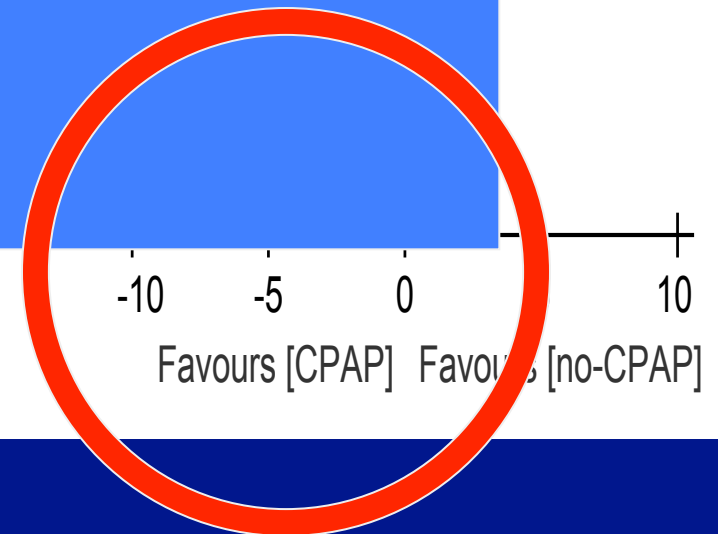
CPAP gp vs. no-CPAP gp.

4.0 ± 3.8 vs. 4.4 ± 8.2 days, P=0.05

Trend towards significance

Heterogeneity: Chi² = 5.21, df = 5 (P = 0.10), I² = 42%

Test for overall effect: Z = 1.95 (P = 0.05)



Preop Dx and CPAP Rx reduces postop cardiac complications

- ✦ Matched cohort analysis of sleep study data and Manitoba health administrative database

Risk of CVS Cx

- ✦ UOSA vs. No OSA:  risk 2.2 P=0.02
- ✦ DOSA vs. UOSA:  risk 0.34 P=0.009

- ✦ **Preop Dx and CPAP Rx** reduces postop cardiac Cx

OSA + CPAP less postop Cx

- ★ Cohort study 2012-13
- ★ 52 Community and Academic Michigan hospitals
- ★ 26,842 pt.: General or vascular surgery
- ★ 2,649 (9.9%) OSA pt.
- ★ UnRx OSA (1465) vs. OSA + CPAP (1184)
- ★ Cardiopulmonary Cx 6.7 vs. 4% aOR=1.8
P=0.001

Abdelsattaar ZM et al. Sleep 2015



STOP

IN THE
NAAAAME
OF LOVE

It is time to take action

- ✦ Preoperative screening for OSA: One death is too many

Chung F J Clin Sleep Med 2012

Postoperative Cx associated with OSA: Time to wake up

Chung F Anesth Analg 2014

Undiagnosed OSA

- ✱ OSA pt.
- ✱ Likely to have better periop monitoring
- ✱ The unDx OSA, unrecognized OSA or unRx OSA pt.
- ✱ Get into trouble

Malignant Hyperthermia Scenario

- Ask Hx of OSA and screen for UOSA
- Family Hx of OSA
- Rule out suspected OSA pts
- CPAP Rx

5 Principles in the anesthetic Mx of OSA pt.

- ✱ RA when possible
- ✱ Be prepared: Boy Scout's motto
- ✱ GA: tracheal intubation and ventilation
- ✱ Postop care: monitoring, early mobilization
- ✱ Judicious use of any opioids by any route

OSA pt. : 5 tips

- ✱ STOP-Bang questionnaire to screen OSA, OHV
- ✱ Use Troop pillow for intubation
- ✱ RM + PEEP to prevent atelectasis
- ✱ Use short acting agents
- ✱ Reverse trendelenburg position for extubation

Outline

- ✦ Adverse events of patients with OSA
- ✦ How do we identify patients with OSA?
- ✦ Perioperative management of OSA pt.
- ✦ CPAP treatment